

Announced Care Inspection Report 6 October 2016



Coleraine and Magherafelt Domiciliary Care Services

Domiciliary Care Agency
14 Sandy Grove, Sandybrea Estate, Magherafelt
Tel no: 028 7963 4488
Inspector: Jim McBride

www.rqia.org.uk

Assurance, Challenge and Improvement in Health and Social Care

1.0 Summary

An announced inspection of Coleraine and Magherafelt Domiciliary Care Services took place on 6 October 2016 from 09.30 to 14.30.

The inspection sought to assess progress with any issues raised during and since the last care inspection and to determine if the agency was delivering safe, effective and compassionate care and if the service was well led.

Is care safe?

On the day of the inspection the agency was found to be delivering safe care. The service provision was outstanding. Staff could demonstrate how they work in partnership with service users to address their needs and develop their capacity for independence, as well as respect and act on people's wishes and choices. The agency operates a staff recruitment system and induction training programme to ensure sufficient supply of appropriately trained staff at all times. The welfare, care and protection of service users is ensured through the identification of safeguarding issues, implementation of safeguarding procedures and working in partnership with the HSC Trust. There was evidence over time of positive outcomes for service users. No areas for quality improvement were identified.

Is care effective?

On the day of the inspection the agency was found to be delivering effective care. The service provision was outstanding. The agency responds appropriately to the needs of service users through the development and review of individual care and support plans. The agency's systems of quality monitoring were found to be in line with regulations and standards, providing continuous review of services in conjunction with service users and their representatives. The agency engages in partnership working with service users to meet the needs/choices of the individual. There was evidence over time of positive outcomes for service users. No areas for quality improvement were identified.

Is care compassionate?

On the day of the inspection the agency was found to be delivering compassionate care. The service provision was outstanding. The agency asks people who use their services what they need and want from the agency. The agency's daily operation includes communicating with, listening to and valuing the views and wishes of service users and their representatives. Agency staff aim to help service users work towards the achievement of individual outcomes as described within individual care and support plans, as well as individual task analysis and goal achievement. No areas for quality improvement were identified.

Is the service well led?

On the day of the inspection the agency was found to be providing a well led service. The service provision was outstanding. Staff working within the agency had a clear understanding of their roles and responsibilities within the management structure, and had confidence in the lines of accountability.

The agency aims to ensure that managers and staff listen and are accessible to people who use services and their representatives including the HSC Trust and relatives. Service users and their representatives are provided with information on the organisational structure and how to

contact the agency as necessary. The agency has policies and procedures in place that go beyond statutory requirements to embrace good practice. The inspector reviewed evidence of effective communication by the agency with the HSC Trust regarding changes in service users' needs. The agency evidenced supports systems that enable service users to get involved e.g. advocacy services, user-led groups. There was evidence over time of positive outcomes for service users. No areas for quality improvement were identified.

This inspection was underpinned by the Domiciliary Care Agencies Regulations (Northern Ireland) 2007 and the Domiciliary Care Agencies Minimum Standards 2011.

1.1 Inspection outcome

	Requirements	Recommendations
Total number of requirements and recommendations made at this inspection	0	0

This inspection resulted in no requirements or recommendations being made. Findings of the inspection were discussed with Leanne Mc Ilvenny registered manager, as part of the inspection process and can be found in the main body of the report.

Enforcement action did not result from the findings of this inspection.

1.2 Actions/enforcement taken following the most recent care inspection

There were no further actions required to be taken following the most recent inspection.

2.0 Service details

Registered organisation/registered person: Praxis Care Group Andrew Mayhew	Registered manager: Leanne Mc Ilvenny
Person in charge of the agency at the time of inspection: Leanne Mc Ilvenny	Date manager registered: 26/11/2009

3.0 Methods/processes

Specific methods/processes used in this inspection include the following:

Prior to inspection the following records were analysed:

- Previous inspection report
- Records of notifiable events

The inspector visited the offices of Praxis Care on the 28 July 2016 to review a selection of records relating to the agency's recruitment practices. These records were found to have been satisfactory.

During the inspection the following processes used include the following:

- Discussion with the registered manager and assistant manager
- Discussion with staff
- Discussion with service users
- Examination of records
- File audits
- Evaluation and feedback

The following records were examined during the inspection:

- A number of care and support plans
- Health and Social Care (HSC) Trust assessments of service user needs and associated risks assessments
- Care review records
- Recording/evaluation of care used by the agency
- Monthly monitoring reports from January to September 2016
- Minutes of staff meetings held from: April to September 2016
- Minutes of tenants meetings held in: February, April, May, June, July, and August 2016
- Staff training records in relation to:
 - Vulnerable adults
 - Medication
 - Human rights
 - Confidentiality
 - Tenant's finances
 - Assessment and support
 - Personal safety
- Records relating to staff supervision
- Complaints records
- Induction procedure
- Staff rota information

4.0 The inspection

The service provides care and support to individuals experiencing mental ill health who are assessed as requiring this input to enable them to live as independently as possible in his/her own community.

The recently combined services provide care at a number of localities in Magherafelt and Coleraine. The Coleraine based accommodation is made up of a Flat Cluster and Dispersed Intensive Support Housing. The Magherafelt DISH Accommodation is made up of properties in the Magherafelt and Cookstown area.

The registered office is based at Sandy Grove, Magherafelt. The service manager and staff provide care and support to 58 Service users. 20 support staff provide practical support /assistance and advice in the areas of maintenance of tenant's mental and physical health, as

well as assistance with activities such as budgeting, menu planning, shopping, and social and emotional support.

During the inspection the inspector spoke with the registered manager and the assistant manager, a team leader and two other staff. The inspector met with three service users and their comments have been included in this report.

At the request of the inspector the manager was asked to distribute ten questionnaires to staff for return to RQIA. Seven Questionnaires were returned. The manager was also asked to distribute ten questionnaires to service users. Five questionnaires were returned. Further detail of staff and service user feedback is included throughout this report.

Following discussion with the manager, staff and service users, it was noted there was evidence overtime of positive outcomes for service users. This has been demonstrated by the agency throughout this report. The inspector would like to thank the service user, agency staff and Praxis Care human resources staff for their warm welcome and full cooperation throughout the inspection process.

4.1 Review of requirements and recommendations from the most recent inspection dated 9 October 2015.

The most recent inspection of the agency was an announced care inspection. The completed QIP was returned and approved by the care inspector.

4.2 Review of requirements and recommendations from the last care inspection dated 9 October 2015.

There were no requirements of recommendations made as a result of the last care inspection.

4.3 Is care safe?

The registered premises are suitable for the purposes of the agency as set out in the Statement of Purpose. The Statement of Purpose was reviewed by the agency in October 2016. The inspector was advised by the manager and staff that service users are introduced to, and advised of the names of staff that will be providing care and support; this information is also included in the statement of purpose and the service user's guide.

This approach was felt to be appropriate and important both in terms of the service users' security and the staff's knowledge of the required care. Service user referral information received from the HSC Trust care managers contained information regarding the service user and/or their representatives.

The inspector examined seven care plans in place during the inspection both on line and hard copy. The referrals detailed the services being commissioned and relevant risk assessments. The agency care plans and risk screening tools completed contained evidence that service users and/or representative's views had been obtained and incorporated. Risk assessments examined provided clear evidence of safe effective care and support being provided to service users. The agency delivers positive outcomes for people who use their services on an ongoing basis and in some instances over a long period of time.

The agency's risk management policy was reviewed by the agency 15 October 2015. Minutes of tenants' meetings read by the inspector provided clear evidence of safe care being discussed: e.g.

- Community activities
- Policy group
- Service user involvement
- Health and safety
- Staffing
- Human rights
- Service user handbook.

The agency has in place a written policy and procedure for the recruitment of staff. Employment procedures reviewed evidenced the completion of pre-employment checks. The recruitment policy was updated by the agency on 4 September 2014. The agency has in place a comprehensive checklist for personnel file documents.

A number of staff files were examined by the inspector on the 28 July 2016 and they included the required information in line with the agency's policy and good practice guidelines. These records were satisfactory.

The inspector noted that service users are encouraged to become involved at some stage of the recruitment process through PALS (Praxis Care Advocacy and Learning Support). The group has been actively involved assisting in the design and development of training, as delivered to new employees through the induction process, whilst also reviewing existing training presentations for ongoing improvement. The members, (service users) share their experiences of being a service user with Praxis Care with inductees and support new staff to understand how they can make a difference to service users' lives and improve the service offered. Currently, the PALS group are designing and developing a new presentation to highlight the link between the HSC Trust Principles and their experience of these in life and practice.

The member's group work together to undertake this initiative with the interests of all service users in mind whilst being aware of the need to objectively look at how they can support staff through learning from service user experience.

The agency has a structured comprehensive staff induction programme which includes shadowing by an experienced mentor for all newly appointed staff, as well as induction of staff for short notice/emergency arrangements. The agency's induction standards are in line with the Northern Ireland Social Care Council standards for new workers in social care. The agency's induction procedures were reviewed by the agency in February 2015. One staff member stated: *"Shadowing during induction is essential to get to know service users."*

The person centred part of the induction includes:

- Safeguarding & Protection of Vulnerable Adults & Child protection
- Service User Awareness
- Understanding Behaviour which Challenges
- Values and Attitudes
- Needs Assessment and Support Planning

- Person Centred Planning.

Records of staff induction, including short notice procedures and mandatory training, were retained within staff files reviewed. The manager confirmed that staff have direct access to all relevant policies and procedures which are held centrally within the agency via the agency's intranet. The agency has a policy and procedure on staff supervision and appraisal reviewed by the agency 5 March 2015. The manager reported that she undertakes supervision with Team Leaders who in turn supervise care staff. The inspector examined staff rotas for weeks commencing 3, 10, 17, and 24 October 2016 and was satisfied that the agency's staff resources were currently appropriate to meet service user needs.

Discussions with the manager indicated that an appropriate number of suitably skilled and experienced staff were available at all times. It was noted that agency's operational plan states an objective of *"Agreed minimum safe levels of staffing will be maintained at all times."* with an agreed escalation policy if minimum standards are not or cannot be met.

The manager stated that all suspected, alleged or actual incidents of abuse are fully and promptly investigated in accordance with procedures. Where shortcomings in systems may have been highlighted as a result of an investigation, additional identified safeguards are put in place. There was a record of monthly monitoring available on the day of inspection which evidenced working practices being systematically reviewed.

The inspector noted that staff make every attempt to increase people's choice and control in their daily lives.

Service user's comments made during discussions:

"I rely on the staff to support me."

"I feel very safe and secure here, I'm well cared for by the staff."

"A great service."

Staff comments:

"Induction prepares people for their role."

"The staff training is good."

"People feel safe with the staff as they know them well and know their care and support needs."

Seven questionnaires returned from staff indicated that:

- They receive appropriate training for their role
- They receive supervision and appraisal

Comments:

"Service users feel happy and relaxed in their homes."

"Service users communicate well with staff."

Five questionnaires returned from service users indicated that:

- They feel safe and protected from harm
- They can you talk to staff if they are unhappy or have any concerns

The inspector found the care provided was of a high standard and it was good to note that in the returned questionnaires, questionnaires from both service users a staff indicated that they were satisfied or very satisfied.

No areas for improvement were identified during the inspection.

Number of requirements	0	Number of recommendations	0
-------------------------------	---	----------------------------------	---

4.4 Is care effective?

Service user records viewed by the inspector evidenced regular contact and feedback received from service users had been followed up. These records evidenced that the agency and the HSC Trust carries out care reviews with service users if changes to their needs are identified. The inspector noted some of the comments made by service users during their annual care review:

“Praxis has supported me with all my needs.”

“I’m happy with the support Praxis staff provide to me.”

“I’m happy with the visits from staff and all the support I receive.”

The agency maintains a daily contact record for each service user. The agency’s individual activity records show what service users did, including how they link activities with the community.

The manager confirmed that staff are provided with details of care planned for each new service user.

The agency’s Statement of Purpose and Service User Guide, makes appropriate references to the nature and range of service provision. Service users and their representatives are advised of independent advocacy services within the Service User Guide.

The most recent monthly quality monitoring reports reviewed, evidenced that working practices are being systematically reviewed.

Care records examined reflected individualised assessments and person centred care plans, including choice and preferences, dignity and respect recorded in a manner which promotes the interests of each service user. It was good to note that service users or their representatives are included in decision making regarding their care plan. Service users are also given the opportunity to comment on the quality of service.

Service user’s comments made during discussions:

“I have no complaints.”

“Staff are very helpful and support my independence.”

“All the staff understand me and encourage me to live independently.”

Staff comments:

“Good supervision and support for staff.”

“The staff communicate well with each other and are focused on the needs of service users.”

Seven returned questionnaires from staff indicated:

- There are systems in place to monitor the quality/safety of the service staff provide
- The needs of the people who use the service kept under review

Comments:

“Service users can voice their opinion if they feel that they are not getting the appropriate care.”

“Some service users enlist the support of other agencies to help with their needs if they wish.”

Five returned questionnaires from service users indicated that:

- They are aware of systems in place to monitor the quality/safety of the service you receive
- They are involved in the review of their care needs

The inspector found the care provided was of a high standard and it was good to note that in the returned questionnaires, returned questionnaires from both service users a staff indicated that they were satisfied or very satisfied.

No areas for improvement were identified during the inspection.

Number of requirements	0	Number of recommendations	0
-------------------------------	---	----------------------------------	---

4.5 Is care compassionate?

The agency’s Statement of Purpose and Service Users Guide reflect that staff will ensure that the beliefs and values of each service user are embedded in the day to day operation of the service. The staff described to the inspector that values form an important part of care provision including privacy, dignity, independence, choice, rights and fulfilment.

Service users interviewed by the inspector raised no concerns regarding their care or the quality of care being provided by staff.

The inspector noted the agency’s annual quality survey and the positive results in relation to the following:

- Are you happy with the way staff help you?
- Do staff treat you the way you like to be treated?
- Has the scheme helped you?
- Has your life changed since you started to get help from the scheme?
- Do staff help you make your goals happen?
- Do you have a review once per year?
- How safe do you feel?
- Are you told about changes?

The agency involves everyone in consultation activities and considers that everyone has the capacity to be involved.

Comments received during the annual survey:

"I have grown immensely since coming here and am managing much better now"
"Very useful and very prompt for visits"
"xxx is a great, efficient worker, I love the visits with xxx, he is great. I always go away feeling better than I started out. He is a good worker and I would like my visits with xxx and xxxxxx to continue"
"Happy about how the scheme works"
"The Praxis scheme has helped me a lot especially settling into my new home. I find the visiting staff are always pleasant and very helpful. Thanks for your support."
"Praxis is very helpful, I have been with them a long time"
"It is just what I like"
"The staff always try their best to help you. I enjoy living here – I have made a lot of friends"
"I like all members of staff"
"Appreciate immensely our visit, weekly contact with Praxis worker."

An action plan in place following the survey highlights some areas of focus for staff relating to outcomes for service users including:

- Person centred focus helping to improve quality for service users;
- Respect and dignity at all times;
- Promote healthy living and self-esteem and confidence;
- Promote and support individual goal.

No concerns were raised regarding the staff treating the service users with dignity or respect. Service users, as far as possible, are offered choices and are encouraged to complete tasks themselves when appropriate. Training records examined including induction records show clear evidence that staff are encouraged to promote independence and choice to service users.

Reports of monthly quality monitoring completed on behalf of the registered person were reviewed. The reports evidenced how the agency ascertains and respond to the views of service users, professionals and staff. The agency's reports of monthly monitoring provide assurance of quality monitoring and service improvement. The inspector recorded some comments from service users, relatives, and HSC Trust professionals:

Service user's comments:

"Everything is fine at present with good support from staff."
"Good support from staff to get out into the community."
"Real good benefits for me, I have no concerns."

HSC Trust comments:

"I'm very happy with the service provided."
"Staff are very supportive of service users."
"Staff promote recovery."
"Good communication with staff."
"Good collaborative working."
"Staff work well with individuals with complex needs."

Staff comments:

“Staff remain focussed to maintain positive outcomes.”
“Happy at present, enjoy the work.”
“Good training opportunities.”
“Good staff support. I’m managed well”
“My training is up to date.”
“Very positive staffing we focus on outcomes for service users.”

Service user’s comments made during discussions:

“Twenty one years here with no problems.”
“The staff are great and are very friendly and helpful.”
“Praxis has changed my life for the better.”

Staff comments:

“The service users’ needs are met with a good trained staff that understand individual difficulties and support needs.”

Seven returned questionnaires from staff indicated:

- They were satisfied that the people who use the service have their views listened to
- They were satisfied that improvements are made in line with the views of the people who use the service

Comments:

“Everyone is afforded respect and dignity.”

Five returned questionnaires from service users indicated that:

- Their views and opinions are sought about the quality of the service
- The care they receive meets their needs and expectations

The inspector found the care provided was of a high standard and it was good to note that in the returned questionnaires, returned questionnaires from both service users a staff indicated that they were satisfied or very satisfied.

No areas for improvement were identified during the inspection.

Number of requirements	0	Number of recommendations	0
-------------------------------	---	----------------------------------	---

4.6 Is the service well led?

Feedback provided to the inspector indicated that there are effective collaborative working relationships with external stakeholders, including the HSC Trust. This relationship is valued by staff who reported improvements in outcomes for service users.

A number of policies and procedures in place are accessible to staff via the staff intranet. Staff interviewed were aware of the complaints procedure and their role if they receive a complaint.

It was noted that the monthly monitoring reports show evidence of complaints auditing and records of action taken in relation to any trend identification.

The agency has a complaints policy and procedure in place April 2016, which is also reflected within the Statement of Purpose and Service User Guide.

Incidents are reported to RQIA when required and it was evident that agency procedures are followed in relation to these. Incidents form part of the monthly monitoring and the agency's operational plan objectives. Required actions are taken to address concerns; this is ongoing within the agency to enable them to reflect on any learning from incidents. The incident reporting policy was reviewed by the agency in November 2015.

A number of staff training events have taken place and mandatory training was up to date for all staff at the time of the inspection. Supervision records examined by the inspector indicated clear evidence of compliance with the agency's own policy and procedures. Feedback provided to the inspector indicated that staff feel respected and supported by the management structure within the agency. Staff reported that they had a very good working relationship with the manager. One staff member stated: *"We have a good management structure."* Another stated: *"The manager is very supportive and has an open door policy if you have any concerns."* There was strong evidence of staff working in partnership with the commissioning HSC Trust. This was confirmed via records, within care plans and through discussions with the manager and staff. There is a whistleblowing policy and procedure and staff interviewed were aware of this. The policy was reviewed by the agency in March 2014.

There was evidence that staff were encouraged to be involved in the development and improvement of the service including measuring the outcomes for service users in relation to their care and support. This was noted within the staff meeting agendas, minutes and the agency's operational plan. The inspector noted some of the topics discussed during team meetings:

- Person centred planning;
- Service user involvement;
- Rights and protection;
- Safeguarding;
- Training.

The agency has a policy and procedure on staff supervision and appraisal. The manager reported that she undertakes supervision with the assistant manager and team leaders who in turn supervise care staff. There is a defined organisational and management structure that identifies the lines of accountability, specific roles and details responsibilities of all staff. This is included in the agency's Statement of Purpose.

The agency returned to RQIA a summary of complaints received between 1 January 2015 and 31 March 2016. The agency had received four complaints during this period. The outcomes of these complaints had been fully satisfied as seen within the records examined. The manager demonstrated an awareness of the regulatory framework and understanding of the agency obligations in relation to this.

The agency's system for reviewing policies and procedures was in place and had been actioned. The agency has policies and procedures in place that go beyond statutory requirements to embrace good practice, and that these are followed by staff. The inspector noted a number of key policies that were subject to systematic review.

The inspector noted that the governance systems within the agency identify and drive quality improvement, the agency considers everything to be a suitable topic for consultation.

The agency's operational plan states a number of objectives that ensure outcomes for service users beyond statutory requirements:

- Measured outcomes for service users;
- Service users involvement in staff recruitment;
- Individual task analysis and goal achievements;
- Tenant compatibility discussions;
- Volunteer development;
- Local community involvement;
- Identification of quality improvement.

There was evidence of regular and effective staff supervision, appraisal, and management of performance issues. The arrangements in place to manage incidents and complaints include measures to prevent recurrence of issues. The inspector saw evidence of systematic audit within the agency which results in effective improvement plans with measured outcomes. This included monitoring of training, supervision, complaints and incidents. The audits within the agency are there to improve the quality of service delivery and individual outcomes for service users. The agency aims to make it possible for people to have control over decisions about their life and day-to-day decisions, as well as enabling people to do activities that they find important, enjoyable and meaningful. Following discussions with staff and service users, it was evident that service users are able to play a key role in how the service is managed. The central focus of provision is person centred. The agency reflects on its work using the outcome focus of the operational plan including, action plans and uses this information to challenge its own performance outcomes.

The registered provider has worked effectively with RQIA and maintained their roles and responsibilities in accordance with legislation. The Statement of Purpose and Service User Guide are kept under review, and both have been revised when necessary October 2016. The registered person has shown an ability to respond appropriately to regulatory matters and led the organisation in maintaining compliance with Regulations and Minimum Standards.

Service user's comments made during discussions:

*"This is a great service the staff are helpful and Praxis are good at helping me and the others."
"The manager is easy to talk and helps always."*

Seven questionnaires returned from staff indicated that:

- They were satisfied that complaints from the people who use the service are listened to
- Feel the service is managed well

Comments:

"The service is managed well and complaints are dealt with promptly."

Five questionnaires returned from service users indicated that:

- Feel the service is managed well

- Any concerns or complaints would be listened to and responded to

The inspector found the care provided was of a high standard and it was good to note that in the returned questionnaires, returned questionnaires from both service users a staff indicated that they were satisfied or very satisfied.

No areas for improvement were identified during the inspection.

Number of requirements	0	Number of recommendations	0
-------------------------------	---	----------------------------------	---

5.0 Quality improvement plan

There were no issues identified during this inspection, and a QIP is neither required, nor included, as part of this inspection report.

It should be noted that this inspection report should not be regarded as a comprehensive review of all strengths and areas for improvement that exist in the service. The findings reported on are those which came to the attention of RQIA during the course of this inspection. The findings contained within this report do not exempt the registered provider from their responsibility for maintaining compliance with the regulations and standards.



The Regulation and Quality Improvement Authority

9th Floor

Riverside Tower

5 Lanyon Place

BELFAST

BT1 3BT

Tel 028 9051 7500

Fax 028 9051 7501

Email info@rqia.org.uk

Web www.rqia.org.uk

 @RQIANews