



Announced Inspection

Name of Establishment: Alexanders Dental Practice

Establishment ID No: 11361

Date of Inspection: 8 April 2014

Inspector's Name: Stephen O'Connor

Inspection No: 16696

The Regulation and Quality Improvement Authority
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1.0 General Information

Name of establishment:	Alexanders Dental Practice
Address:	40 Northland Row Dungannon BT71 6AP
Telephone number:	028 8772 2605
Registered organisation / Responsible individuals:	Alexanders Dental Practice/ Mr Patrick McGeary Mr Eamonn O'Brien Mr David Gordon
Registered manager:	Mrs Susanne McCammick
Person in charge of the establishment at the time of inspection:	Mr Patrick McGeary Mr Eamonn O'Brien Mr David Gordon
Registration category:	IH-DT
Type of service provision:	Private dental treatment
Maximum number of places registered: (dental chairs)	5
Date and type of previous inspection:	Announced 26 July 2013
Date and time of inspection:	8 April 2014 10:00 – 12md
Name of inspector:	Stephen O'Connor

2.0 Introduction

The Regulation and Quality Improvement Authority (RQIA) is empowered under The Health and Personal Social Services (Quality, Improvement and Regulation) (Northern Ireland) Order 2003 to inspect dental practices providing private dental care and treatment. A minimum of one inspection per year is required.

This is a report of the announced inspection to assess the quality of services being provided. The report details the extent to which the standards measured during inspection were met.

3.0 Purpose of the Inspection

The purpose of this inspection was to consider whether the service provided to patients was in accordance with their assessed needs and preferences and was in compliance with legislative requirements, minimum standards and other good practice indicators. This was achieved through a process of analysis and evaluation of available evidence.

RQIA not only seeks to ensure that compliance with regulations and standards is met but also aims to use inspection to support providers in improving the quality of services. For this reason, inspection involves in-depth examination of an identified number of aspects of service provision.

The aims of the inspection were to examine the policies, practices and monitoring arrangements for the provision of dental care, and to determine the provider's compliance with the following:

- The HPSS (Quality, Improvement and Regulation) (Northern Ireland) Order 2003;
- The Independent Health Care Regulations (Northern Ireland) 2005;
- The Regulation and Improvement Authority (Independent Health Care) (Fees and Frequency of Inspections) (Amendment) Regulations (Northern Ireland) 2011;
- The Minimum Standards for Dental Care and Treatment 2011; and
- Health Technical Memorandum HTM 01-05: Decontamination in Primary Care Dental Practices and Professional Estates Letter (PEL) (13) 13.

Other published standards which guide best practice may also be referenced during the inspection process.

4.0 Methods/Process

Committed to a culture of learning, the RQIA has developed an approach which uses self-assessment, a critical tool for learning, as a method for preliminary assessment of achievement of the Minimum Standards.

The inspection process has three key parts; self-assessment (including completion of self-declaration), pre-inspection analysis and the inspection visit by the inspector.

Specific methods/processes used in this inspection include the following:

- a self-assessment was submitted prior to the inspection and has been analysed;
- discussion with Mrs Susanne McCammick, registered manager;
- examination of relevant records;
- consultation with relevant staff;
- tour of the premises; and
- evaluation and feedback.

Any other information received by RQIA about this practice has also been considered by the inspector in preparing for this inspection.

5.0 Consultation Process

During the course of the inspection, the inspector spoke with staff on duty. Questionnaires were provided to staff prior to the inspection by the practice, on behalf of the RQIA to establish their views regarding the service. Matters raised by staff were addressed by the inspector during the course of this inspection:

	Number	
Discussion with staff	1	
Staff Questionnaires	15 issued	7 returned

Prior to the inspection the registered person/s were asked, in the form of a declaration, to confirm that they have a process in place for consulting with service users and that a summary of the findings has been made available. The consultation process may be reviewed during this inspection.

6.0 Inspection Focus

The inspection sought to establish the level of compliance achieved with respect to the selected DHSSPS Minimum Standards for Dental Care and Treatment and a thematic focus incorporating selected standards and good practice indicators. An assessment on the progress in relation to the issues raised during and since the previous inspection was also undertaken.

In 2012 the DHSSPS requested that RQIA make compliance with best practice in local decontamination, as outlined in HTM 01-05 Decontamination in Primary Care Dental Premises, a focus for the 2013/14 inspection year.

The DHSSPS and RQIA took the decision to review compliance with best practice over two years. The focus of the two years is as follows:

- Year 1 – Decontamination – 2013/14 inspection year
- Year 2 - Cross infection control – 2014/15 inspection year

Standard 13 – Prevention and Control of Infection [Safe and effective care]

The dental service takes every reasonable precaution to make sure you are not exposed to risk of infection.

The decontamination section of the Infection Prevention Society Audit tool, which has been endorsed by the Department of Health, was used as a framework for development of a self-assessment tool and for planned inspections during 2013/14.

The following sections of the 2013 edition of the Infection Prevention Society Audit tool, which has been endorsed by the Department of Health have been used as a framework for the development of a self-assessment tool and for planned inspections in 2014/15:

- Prevention of Blood-borne virus exposure
- Environmental design and cleaning
- Hand Hygiene
- Management of Dental Medical Devices
- Personal Protective Equipment
- Waste

A number of aspects of the decontamination section of the audit tool have also been revisited.

RQIA have highlighted good practice guidance sources to service providers, making them available on our website where possible. Where appropriate, requirements will be made against legislation and recommendations will be made against DHSSPS Minimum Standards for Dental Care and Treatment (2011) and other recognised good practice guidance documents.

The registered provider/manager and the inspector have each rated the practice's compliance level against each section of the self-assessment.

The table below sets out the definitions that RQIA has used to categorise the service's performance:

Guidance - Compliance statements		
Compliance statement	Definition	Resulting Action in Inspection Report
0 - Not applicable		A reason must be clearly stated in the assessment contained within the inspection report.
1 - Unlikely to become compliant		A reason must be clearly stated in the assessment contained within the inspection report.
2 - Not compliant	Compliance could not be demonstrated by the date of the inspection.	In most situations this will result in a requirement or recommendation being made within the inspection report.
3 - Moving towards compliance	Compliance could not be demonstrated by the date of the inspection. However, the service could demonstrate a convincing plan for full compliance by the end of the Inspection year.	In most situations this will result in a requirement or recommendation being made within the inspection report.
4 – Substantially Compliant	Arrangements for compliance were demonstrated during the inspection. However, appropriate systems for regular monitoring, review and revision are not yet in place.	In most situations this will result in a recommendation, or in some circumstances a requirement, being made within the inspection report.
5 – Compliant	Arrangements for compliance were demonstrated during the inspection. There are appropriate systems in place for regular monitoring, review and any necessary revisions to be undertaken.	In most situations this will result in an area of good practice being identified and comment being made within the inspection report.

7.0 Profile of Service

Alexander's Dental Practice is located within an old mid terraced building, close to Dungannon town centre. The building has been extensively renovated and adapted to accommodate a dental practice. The practice occupies three floors, on street parking is located to the front of the practice and a number of spaces are also available for patients at the rear of the practice.

Alexander's Dental Practice is registered for five dental chairs. Since the previous inspection, construction works to establish a sixth surgery on the first floor of the practice have commenced and it is envisaged that these works will be completed by June 2014. Following the inspection an application to vary the registration of the practice was submitted to RQIA in regards to the establishment of an additional surgery.

Alexander's Dental Practice has three surgeries on the ground floor and arrangements are in place to enable disabled patients to access the practice at the rear. There is no disabled toilet available and patients are aware of this in advance.

Alexander's Dental Practice operates five dental chairs, providing both private and NHS dental care. There is a reception area, separate waiting room and three surgeries on the ground floor. The first floor accommodates a further two surgeries and waiting area and patient toilets are provided on both floors. Staff and storage facilities are located on the second floor. A decontamination room has been established on the first floor.

Mr McGeary, Mr O'Brien and Mr Gordon work alongside an associate dentist and they are supported by a registered manager, a hygienist, and a team of dental nurses and reception staff.

The establishment's statement of purpose outlines the range of services provided.

This practice is registered as an independent hospital (IH) providing dental treatment (DT).

8.0 Summary of Inspection

This announced inspection of Alexanders Dental Practice was undertaken by Stephen O'Connor on 8 April 2014 between the hours of 10:00 and 12:00md. Mr McGeary, Mr O'Brien and Mr Gordon, responsible individuals, were in the practice, treating patients during the inspection. The inspection was facilitated by Mrs Susanne McCammick, registered manager, who was available for verbal feedback at the conclusion of the inspection.

The requirement and recommendations made as a result of the previous inspection were also examined. Observations and discussion demonstrated that the requirement and both recommendations have been addressed and compliance achieved. The detail of the action taken by the responsible individuals/registered manager can be viewed in the section following this summary.

Prior to the inspection, the responsible individuals/registered manager completed a self-assessment using the standard criteria outlined in the theme inspected. The comments provided by responsible individuals/registered manager in the self-assessment were not altered in any way by RQIA. The self-assessment is included as appendix one in this report.

During the course of the inspection the inspector met with a dental nurse, discussed operational issues, examined a selection of records and carried out a general inspection of the establishment.

Questionnaires were also issued to staff; seven were returned to RQIA within the timescale required. Review of submitted questionnaires and discussion with a dental nurse evidenced that staff were knowledgeable regarding the inspection theme and that they have received training appropriate to their relevant roles. Staff confirmed that they are familiar with the practice policies and procedures and have received infection prevention and control training. Clinical staff confirmed that they have been immunised against Hepatitis B.

Inspection Theme – Cross infection control

Dental practices in Northern Ireland have been directed by the DHSSPS, that best practice recommendations in the Health Technical Memorandum (HTM) 01-05, Decontamination in primary care dental practices, along with Northern Ireland amendments, should have been fully implemented by November 2012. HTM 01-05 was updated in 2013 and Primary Care Dental Practices were advised of this through the issue of Professional Estates Letter (PEL) (13) 13 on 1 October 2013. The PEL (13) 13 advised General Dental Practitioners of the publication of the 2013 version of HTM 01-05 and the specific policy amendments to the guidance that apply in Northern Ireland.

RQIA reviewed the compliance of the decontamination aspect of HTM 01-05 in the 2013/2014 inspection year. The focus of the inspection for the 2014/2015 inspection year is cross infection control. A number of aspects of the decontamination section of HTM 01-05 have been revisited.

A copy of the 2013 edition of HTM 01-05 Decontamination in primary dental care practices is available at the practice for staff reference. Staff are familiar with best practice guidance outlined in the document and audit compliance on an ongoing basis.

The practice has a policy and procedure in place for the prevention and management of blood-borne virus exposure, including management of spillages, sharps and inoculation incidents in accordance with national guidance. Review of documentation and discussion with Mrs McCammick, and a dental nurse evidenced that appropriate arrangements are in place for the prevention and management of blood-borne virus exposure. A dental nurse confirmed that staff are aware of, and are adhering to, practice policy in this regard. In general sharps management at the practice was observed to be in line with best practice. A recommendation was made that sharps containers should be wall mounted at the point of use, that they should be labelled with a location, and signed and dated on assembly.

The premises were clean and tidy and clutter was kept to a minimum. Satisfactory arrangements are in place for the cleaning of the general environment and dental equipment. It was observed that the entrance corridor to surgery one is carpeted and that the covering of the dental chair in surgery five is torn. The identified issues were discussed with Mrs McCammick and recommendations were made to address them.

The practice has a hand hygiene policy and procedure in place and a dental nurse demonstrated that good practice is adhered to in relation to hand hygiene. Dedicated hand washing basins are available in the appropriate locations. A recommendation was made that the overflows in all dedicated hand washing basins must be blanked off using a stainless steel plate and sealed with antibacterial mastic, and plugs must be removed. Information promoting hand hygiene is provided for staff.

A written scheme for the prevention of legionella is available. A recommendation was made that additional controls measures to include the monthly monitoring of the sentinel water temperatures; and flushing of all infrequently used outlets are implemented. Procedures are in place for the use, maintenance, service and repair of all medical devices. Observations made and discussion with Mrs McCammick confirmed that dental unit water lines (DUWLs) are appropriately managed.

The practice has a policy and procedure in place for the use of personal protective equipment (PPE) and the dental nurse spoken with demonstrated awareness of this.

Observations made confirmed that PPE was readily available and used appropriately by staff.

Appropriate arrangements were in place for the management of general and clinical waste, including sharps. Waste was appropriately segregated and suitable arrangements were in place for the storage and collection of waste by a registered waste carrier. Relevant consignment notes are retained in the practice for at least three years.

A decontamination room separate from patient treatment areas and dedicated to the decontamination process is available. Appropriate validated equipment, including washer disinfectors and steam sterilisers have been provided in sufficient numbers to meet the practice requirements. Equipment logbooks evidenced that periodic tests are undertaken and recorded in keeping with HTM 01-05.

The evidence gathered through the inspection process concluded that Alexanders Dental Practice is substantially compliant with this inspection theme.

The responsible individuals/registered manager confirmed on the submitted self-assessment that arrangements are in place for consultation with patients, at appropriate intervals, that feedback provided by patients has been used by the service to improve, and that results of the consultation have been made available to patients.

Alexander's Dental Practice is registered for five dental chairs. Construction works to establish a sixth surgery on the first floor of the practice are at an early stage. Following the inspection an application to vary the registration of the practice was submitted to RQIA in regards to the establishment of an additional surgery.

Five recommendations were made as a result of the announced inspection, details can be found in the main body of the report and the attached Quality Improvement Plan (QIP).

Mrs McCammick was informed that a variation to registration inspection would be scheduled to review the readiness of the practice for the provision of private dental care and treatment associated with the establishment of a sixth dental chair.

The inspector wishes to thank Mrs McCammick and staff for their helpful discussions, assistance and hospitality throughout the inspection process.

9.0 Follow-up on Previous Issues

No	Regulation Ref.	Requirements	Action taken - as confirmed during this inspection	Inspector's Validation of Compliance
1	15 (3)	The bench top washer disinfectors should be installed and validated to remove the need to manually wash dental instruments.	<p>Observation demonstrated that two washer disinfectors have been installed. Review of documentation demonstrated that both were validated on commissioning. Discussion with a dental nurse demonstrated that all reusable dental instruments are cleaned using either a washer disinfectant or a DAC Universal.</p> <p>This requirement has been addressed.</p>	Compliant

No	Minimum Standard Ref.	Recommendations	Action Taken – as confirmed during this inspection	Inspector's Validation of Compliance
1	8.3	<p>Discuss with the named Radiation Protection Advisor (RPA) the benefits of implementing rectangular collimation to further optimise dose exposure.</p> <p>Any recommendations made by the RPA in this regard should be implemented.</p>	<p>Mrs McCammick confirmed that the use of rectangular collimation was discussed with the appointed RPA who recommended that rectangular collimators are used. Rectangular collimation is now used in this practice.</p> <p>This recommendation has been addressed.</p>	Compliant
2	13.2	<p>The Infection Prevention Procedure entitled 'Single patients instruments' should be reviewed to ensure that it reflects the practice policy and best practice as outlined in HTM 01-05.</p>	<p>Review of documentation demonstrated that the procedure entitled 'Single patients instruments' has been amended to reflect the practice policy and best practice guidance.</p> <p>This recommendation has been addressed.</p>	Compliant

10.0 Inspection Findings

10.1 Prevention of Blood-borne virus exposure

STANDARD 13 – Prevention and Control of Infection (Safe and effective care) The dental service takes every reasonable precaution to make sure you are not exposed to risk of infection.
<p>Criteria Assessed:</p> <p>11.2 You receive care and treatment from a dental team (including temporary members) who have undergone appropriate checks before they start work in the service.</p> <p>13.2 Your dental service adheres to the appropriate infection control policies and procedures in line with current best practice and legislation.</p> <p>13.3 Your dental service has systems in place, including induction and ongoing training, to make sure these policies and procedures are known, and are being appropriately applied to the service at all times.</p>
<p>Inspection Findings:</p> <p>The responsible individuals/registered manager rated the practice arrangements for the prevention of blood-borne virus exposure as compliant on the self-assessment.</p> <p>The practice has a policy and procedure in place for the prevention and management of blood-borne virus exposure, including management of spillages, sharps and inoculation incidents in accordance with national guidance.</p> <p>Review of documentation and discussion with a dental nurse evidenced that:</p> <ul style="list-style-type: none"> • The prevention and management of blood-borne virus exposure is included in the staff induction programme; • Mrs McCammick confirmed that in the future newly recruited staff will received an occupational health check; and • Records are retained regarding the Hepatitis B immunisation status of clinical staff. <p>Discussion with Mrs McCammick and a dental nurse confirmed that staff are aware of the policies and procedures in place for the prevention and management of blood-borne virus exposure.</p> <p>It was observed that sharps boxes for general clinical waste and pharmaceutical waste were available in the dental surgeries. Sharps boxes were observed to be free standing on the worktops, appropriately used, however they had not been signed and dated on assembly. A dental nurse confirmed that sharp boxes are signed and dated on final closure. Used sharps are locked with the integral lock and stored ready for collection away from public access. A recommendation was made that in keeping with best practice guidance as outlined in HTM 07-01 sharps containers should be wall mounted at the point of use, labelled with a location and signed and dated on assembly.</p> <p>Discussion with Mrs McCammick and a dental nurse and review of documentation evidenced that arrangements are in place for the management of a sharps injury, including needle stick injuries. The dental nurse was aware of the actions to be taken in the event of a sharps injury.</p>

Provider's overall assessment of the dental practice's compliance level against the standard assessed	Compliant
Inspector's overall assessment of the dental practice's compliance level against the standard assessed	Substantially compliant

10.2 Environmental design and cleaning

STANDARD 13 – Prevention and Control of Infection (Safe and effective care)

The dental service takes every reasonable precaution to make sure you are not exposed to risk of infection.

Criterion Assessed:

13.1 Your dental service's premises are clean.

Inspection Findings:

The responsible individuals/registered manager rated the practice arrangements for environmental design and cleaning as compliant on the self-assessment.

The practice has a policy and procedure in place for cleaning and maintaining the environment.

The inspector undertook a tour of the premises which were found to be maintained to a good standard of cleanliness. Clinical and decontamination areas were tidy and uncluttered and work surfaces were intact and easy to clean.

All surgeries were in use on the day of the inspection, therefore the inspector only had the opportunity to review the facilities of two of the five surgeries. It was observed that the flooring in these two surgeries is not in keeping with best practice guidance as outlined in HTM 01-05, as they are tiled with a vinyl tile. The inspector also observed that the corridor leading to surgery one is carpeted. On discussion Mrs McCammick confirmed that the floor covering of four of the five surgeries are tiled with a vinyl tile. Best practice guidance in regards to flooring was discussed with Mrs McCammick and a recommendation was made in this regard. The inspector also advised that when the surgeries are being refurbished cognisance should be given to the flooring specifications as outlined in HTM 01-05.

In general fixtures, fittings, dental chairs and equipment were free from damage, dust and visible dirt. It was observed that the dental chair in surgery five had a tear in the seat of the chair. This was discussed with Mrs McCammick and a recommendation was made that in the interests of infection prevention and control and to aid effective cleaning the damaged chair covering should be replaced. All dental chairs should be examined for evidence of rips and tears, any issues identified should be addressed.

Discussion with a dental nurse demonstrated that appropriate arrangements are in place for cleaning including:

- Equipment surfaces, including the dental chair, are cleaned between each patient;
- Daily cleaning of floors, cupboard doors and accessible high level surfaces;
- Weekly/monthly cleaning schedule;
- Cleaning equipment is labelled and designated for use in either clinical or non-clinical areas;
- Cleaning equipment is stored in a non-clinical area; and
- Dirty water is disposed of at an appropriate location.

Discussion with a dental nurse and review of submitted questionnaires confirmed that staff had received relevant training to undertake their duties.

The practice has a local policy and procedure for spillage in accordance with the Control of Substances Hazardous to Health (COSHH) and the dental nurse spoken with demonstrated awareness of this.

Provider's overall assessment of the dental practice's compliance level against the standard assessed	Compliant
Inspector's overall assessment of the dental practice's compliance level against the standard assessed	Substantially compliant

10.3 Hand Hygiene

STANDARD 13 – Prevention and Control of Infection (Safe and effective care)
The dental service takes every reasonable precaution to make sure you are not exposed to risk of infection.

Criteria Assessed:

13.2 Your dental service adheres to the appropriate infection control policies and procedures in line with current best practice and legislation.

13.3 Your dental service has systems in place, including induction and ongoing training, to make sure these policies and procedures are known, and are being appropriately applied to the service at all times.

Inspection Findings:

The responsible individuals/registered manager rated the practice arrangements for hand hygiene as compliant on the self-assessment.

The practice has a hand hygiene policy and procedure in place.

A dental nurse confirmed that hand hygiene is included in the induction programme and that hand hygiene training is updated periodically.

Discussion with a dental nurse demonstrated that hand hygiene is performed before and after each patient contact and at appropriate intervals. Observations made evidenced that the dental nurse had short clean nails and jewellery such as wrist watches and stoned rings were not worn in keeping with good practice.

Dedicated hand washing basins are available in each of the five dental surgeries and the decontamination room and adequate supplies of liquid soap, paper towels and disinfectant rub/gel were available. The stainless steel hand washing basins in the two surgeries reviewed both had plugs and overflows. This was discussed with Mrs McCammick who confirmed that this was the case in all of the surgeries. A recommendation was made that in keeping with best practice guidance the overflows in all dedicated hand washing basins must be blanked off using a stainless steel plate and sealed with antibacterial mastic, and plugs must be removed.

A dental nurse confirmed that nail brushes and bar soap are not used in the hand hygiene process in keeping with good practice.

The inspector observed that laminated posters promoting hand hygiene were on display in dental surgeries and the decontamination room.

Provider’s overall assessment of the dental practice’s compliance level against the standard assessed	Compliant
Inspector’s overall assessment of the dental practice’s compliance level against the standard assessed	Substantially compliant

10.4 Management of Dental Medical Devices

<p>STANDARD 13 – Prevention and Control of Infection (Safe and effective care) The dental service takes every reasonable precaution to make sure you are not exposed to risk of infection.</p>
<p>Criterion Assessed: 13.4 Your dental service meets current best practice guidance on the decontamination of reusable dental and medical instruments.</p>
<p>Inspection Findings:</p> <p>The responsible individuals/registered manager rated the practice approach to the management of dental medical devices as compliant on the self-assessment.</p> <p>The practice has an infection control policy that includes procedures for the use, maintenance, service and repair of all medical devices.</p> <p>The inspector reviewed the written scheme for the prevention of legionella contamination in water pipes and other water lines. Review of documentation and discussion with Mrs McCammick confirmed that the recommendations made in the legionella risk assessment have been addressed with the exception of the implementation of water temperature monitoring of the sentinel taps and the routine flushing of infrequently used outlets. A recommendation was made in this regard.</p> <p>The dental nurse confirmed that impression materials, prosthetic and orthodontic appliances are decontaminated prior to despatch to laboratory and before being placed in the patient’s mouth.</p> <p>Observations made and discussion with Mrs McCammick confirmed that DUWLs are appropriately managed. This includes that:</p> <ul style="list-style-type: none"> • Filters are cleaned/replaced as per manufacturer’s instructions; • An independent bottled-water system is used to dispense reverse osmosis water to supply the DUWLs; • Self-contained water bottles are managed in accordance with manufacturer's guidance; • DUWLs are flushed at the start of each working day and between every patient; • DUWLs and hand pieces are fitted with anti-retraction valves; and • DUWLs are purged using disinfectant as per manufacturer’s recommendations.

<p>Provider’s overall assessment of the dental practice’s compliance level against the standard assessed</p>	<p>Compliant</p>
<p>Inspector’s overall assessment of the dental practice’s compliance level against the standard assessed</p>	<p>Substantially compliant</p>

10.5 Personal Protective Equipment

<p>STANDARD 13 – Prevention and Control of Infection (Safe and effective care) The dental service takes every reasonable precaution to make sure you are not exposed to risk of infection.</p>
<p>Criterion Assessed: 13.2 Your dental service adheres to the appropriate infection control policies and procedures in line with current best practice and legislation. 13.3 Your dental service has systems in place, including induction and ongoing training, to make sure these policies and procedures are known, and are being appropriately applied to the service at all times.</p>
<p>Inspection Findings:</p> <p>The responsible individuals/registered manager rated the practice approach to the management of personal protective equipment (PPE) as compliant on the self-assessment.</p> <p>The practice has a policy and procedure in place for the use of PPE and a dental nurse demonstrated awareness of this. Mrs McCammick and a dental nurse confirmed that the use of PPE is included in the induction programme.</p> <p>Observations made and discussion with a dental nurse evidenced that PPE was readily available and in use in the practice.</p> <p>Discussion with a dental nurse demonstrated that:</p> <ul style="list-style-type: none"> • Hand hygiene is performed before donning and following the removal of disposable gloves; • Single use PPE is disposed of appropriately after each episode of patient care; • Heavy duty gloves are available for domestic cleaning and decontamination procedures where necessary; and • Eye protection for staff and patients is decontaminated after each episode. <p>The dental nurse confirmed that staff are aware of the practice uniform policy.</p>

<p>Provider’s overall assessment of the dental practice’s compliance level against the standard assessed</p>	<p>Compliant</p>
<p>Inspector’s overall assessment of the dental practice’s compliance level against the standard assessed</p>	<p>Compliant</p>

10.6 Waste

STANDARD 13 – Prevention and Control of Infection (Safe and effective care) The dental service takes every reasonable precaution to make sure you are not exposed to risk of infection.	
Criterion Assessed:	
13.2 Your dental service adheres to the appropriate infection control policies and procedures in line with current best practice and legislation.	
13.3 Your dental service has systems in place, including induction and ongoing training, to make sure these policies and procedures are known, and are being appropriately applied to the service at all times..	
Inspection Findings:	
The responsible individuals/registered manager rated the practice approach to the management of waste as complaint on the self-assessment.	
The practice has a policy and procedure in place for the management and disposal of waste in keeping with HTM 07-01. Mrs McCammick confirmed that the management of waste is included in the induction programme and that waste management training is updated periodically.	
Review of documentation confirmed that contracted arrangements are in place for the disposal of waste by a registered waste carrier and relevant consignment notes are retained in the practice for at least three years. HTM 07-01 recommends that waste audits and duty of care checks should be undertaken periodically; this was discussed with Mrs McCammick who confirmed that waste audits are not undertaken. The inspector advised that in keeping with best practice guidance consideration should be given to implementing waste audits and duty of care checks in the future.	
Observations made and discussion with a dental nurse demonstrated that staff are aware of the different types of waste and appropriate disposal streams.	
Pedal operated bins are available throughout the practice.	
Appropriate arrangements are in place in the practice for the storage and collection of general and clinical waste, including sharps waste.	
The inspector observed adequate provision of sharps containers including those for pharmaceutical waste, throughout the practice. As discussed in section 10.1 of this report a recommendation was made in regards to sharps boxes.	

Provider's overall assessment of the dental practice's compliance level against the standard assessed	Compliant
Inspector's overall assessment of the dental practice's compliance level against the standard assessed	Compliant

10.7 Decontamination

<p>STANDARD 13 – Prevention and Control of Infection (Safe and effective care) The dental service takes every reasonable precaution to make sure you are not exposed to risk of infection.</p>
<p>Criterion Assessed: 13.4 Your dental service meets current best practice guidance on the decontamination of reusable dental and medical instruments.</p>
<p>Inspection Findings: The responsible individuals/registered manager rated the decontamination arrangements of the practice as compliant on the self-assessment.</p> <p>A decontamination room separate from patient treatment areas and dedicated to the decontamination process is available.</p> <p>Appropriate equipment, including washer disinfectors, steam sterilisers and a DAC Universal have been provided in sufficient numbers to meet the practice requirements.</p> <p>Review of documentation evidenced that equipment used in the decontamination process has been appropriately validated.</p> <p>Review of equipment logbooks evidenced that periodic tests are undertaken and recorded in keeping with HTM 01-05.</p>

<p>Provider’s overall assessment of the dental practice’s compliance level against the standard assessed</p>	<p>Compliant</p>
<p>Inspector’s overall assessment of the dental practice’s compliance level against the standard assessed</p>	<p>Compliant</p>

<p>Inspector’s overall assessment of the dental practice’s compliance level against the standard assessed</p>	<p>Compliance Level</p>
	<p>Substantially compliant</p>

11.0 Additional Areas Examined

11.1 Staff Consultation/Questionnaires

During the course of the inspection, the inspector spoke with a dental nurse. Questionnaires were also provided to staff prior to the inspection by the practice on behalf of the RQIA. Seven were returned to RQIA within the timescale required.

Review of submitted questionnaires and discussion with a dental nurse evidenced that staff were knowledgeable regarding the inspection theme and that they have received training appropriate to their relevant roles. Staff confirmed that they are familiar with the practice policies and procedures and have received training in regards to hand hygiene, environmental cleaning, prevention and the management of waste. Five of the seven respondents confirmed that they had not received training in the prevention of blood borne virus exposure, including the management of spillages, sharps and inoculation injuries. This was discussed with Mrs McCammick who confirmed that this would be addressed during the next staff meeting. Staff also confirmed that good quality, mild liquid soap, disinfectant rub/gel and hand creams are available for use and that sufficient supplies of personal protective equipment (PPE) are available. Clinical staff confirmed that they have been immunised against Hepatitis B.

11.2 Patient Consultation

The responsible individuals/registered manager confirmed on the submitted self-assessment that arrangements are in place for consultation with patients, at appropriate intervals, that feedback provided by patients has been used by the service to improve, and that results of the consultation have been made available to patients.

11.3 Registration Issues

Alexanders Dental Care is registered for five dental chairs. Mrs McCammick confirmed that construction works to establish a sixth surgery on the first floor of the practice have recently commenced. Mrs McCammick also confirmed that it is envisaged that this surgery will be fully operational by the end of June 2014. The establishment of an additional surgery was discussed with Mrs McCammick and the inspector advised that until such times as the newly established surgery has been assessed and registered by RQIA it cannot be used for the provision of private dental care and treatment. During a tour of the premises it was observed that construction works are at an early stage. A variation to registration application form was issued to the practice, by the registration department in RQIA, following the inspection. Following the inspection, an application to vary the registration of the practice was submitted to RQIA in regards to the establishment of an additional surgery.

Mrs McCammick also confirmed that she is resigning from her post as registered manager and that she will likely finish work by the end of June 2014. This was discussed with Mrs McCammick who confirmed that she is aware that

the practice must give notice in writing to RQIA, no later than one month before the proposed absence commences, when the registered manager proposes to be absent from the establishment for a continuous period of 28 days or more. An absence of registered manager, notification form, was issued to the practice, by the registration department in RQIA, following the inspection, to facilitate this process.

Mrs McCammick confirmed that the practice is in the process of recruiting a new practice manager and that once an appointment has been made, a registered manager application will be submitted to RQIA.

12.0 Quality Improvement Plan

The details of the Quality Improvement Plan appended to this report were discussed with Mrs Susanne McCammick, registered manager as part of the inspection process.

The timescales for completion commence from the date of inspection.

The registered provider/manager is required to record comments on the Quality Improvement Plan.

Matters to be addressed as a result of this inspection are set in the context of the current registration of your premises. The registration is not transferable so that in the event of any future application to alter, extend or to sell the premises the RQIA would apply standards current at the time of that application.

Enquiries relating to this report should be addressed to:

Stephen O'Connor
The Regulation and Quality Improvement Authority
9th Floor
Riverside Tower
5 Lanyon Place
Belfast
BT1 3BT

Stephen O'Connor
Inspector / Quality Reviewer

Date



The Regulation and
Quality Improvement
Authority

**Self Assessment audit tool of compliance with
HTM01-05 - Decontamination - Cross Infection Control**

Name of practice: Alexanders Dental Practice

RQIA ID: 11361

Name of inspector: Stephen O'Connor

This self-assessment tool should be completed in reflection of the current decontamination and cross infection control arrangements in your practice.

THE REGULATION AND QUALITY IMPROVEMENT AUTHORITY
9th floor Riverside Tower, 5 Lanyon Place, Belfast, BT1 3BT
Tel: 028 9051 7500 Fax: 028 9051 7501

1 Prevention of bloodborne virus exposure			
Inspection criteria <i>(Numbers in brackets reflect HTM 01-05/policy reference)</i>	Yes	No	If NO provide rationale and actions to be taken with timescales to achieve compliance with HTM 01-05.
1.1 Does the practice have a policy and procedure/s in place for the prevention and management of blood borne virus exposure, including management of spillages, sharps and inoculation incidents in accordance with national guidance? (2.6)	yes		<i>If no, answer remaining questions in this section to reflect your current arrangements</i>
1.2 Have all staff received training in relation to the prevention and management of blood-borne virus exposure? (1.22, 9.1, 9.5)			
1.3 Have all staff at risk from sharps injuries received an Occupational Health check in relation to risk reduction in blood-borne virus transmission and general infection? (2.6)			
1.4 Can decontamination and clinical staff demonstrate current immunisation with the hepatitis B vaccine e.g. documentation? (2.4s, 8.8)			
1.5 Are chlorine-releasing agents available for blood /bodily fluid spillages and used as per manufacturer's instructions? (6.74)			
1.6 Management of sharps Any references to sharps management should be read in conjunction with The Health and Safety (Sharp Instruments in Healthcare) Regulations (Northern Ireland) 2013 Are sharps containers correctly assembled?			

<p>1.7 Are in-use sharps containers labelled with date, locality and a signature?</p>			
<p>1.8 Are sharps containers replaced when filled to the indicator mark?</p>			
<p>1.9 Are sharps containers locked with the integral lock when filled to the indicator mark? Then dated and signed?</p>			
<p>1.10 Are full sharps containers stored in a secure facility away from public access?</p>			
<p>1.11 Are sharps containers available at the point of use and positioned safely (e.g. wall mounted)?</p>			
<p>1.12 Is there a readily-accessible protocol in place that ensures staff are dealt with in accordance with national guidance in the event of blood-borne virus exposure? (2.6)</p>			
<p>1.13 Are inoculation injuries recorded?</p>			
<p>1.14 Are disposable needles and disposable syringes discarded as a single unit?</p>			
<p>Provider's level of compliance</p>			<p>Compliant</p>

2 Environmental design and cleaning			
Inspection criteria	Yes	No	If NO provide rationale and actions to be taken with timescales to achieve compliance with HTM 01-05.
2.1 Does the practice have a policy and procedure for cleaning and maintaining the environment? (2.6, 6.54)	yes		
2.2 Have staff undertaking cleaning duties been fully trained to undertake such duties? (6.55)	yes		
2.3 Is the overall appearance of the clinical and decontamination environment tidy and uncluttered? (5.6)	yes		
2.4 Is the dental chair cleaned between each patient? (6.46, 6.62)	yes		
2.5 Is the dental chair free from rips or tears? (6.62)	yes		
2.6 Are all surfaces i.e. walls, floors, ceilings, fixtures and fittings and chairs free from damage and abrasion? (6.38)	yes		
2.7 Are all work-surface joints intact, seamless, with no visible damage? (6.46, 6.47)	yes		
2.8 Are all surfaces i.e. walls, floors, ceilings, fixtures and fittings and chairs free from dust and visible dirt? (6.38)	yes		
2.9 Are the surfaces of accessible ventilation fittings/grills cleaned at a minimum weekly? (6.64)	yes		
2.10 Are all surfaces including flooring in clinical and decontamination areas impervious and easy to clean? (6.46, 6.64)	yes		

2.11 Do all floor coverings in clinical and decontamination areas have covered edges that are sealed and impervious to moisture? (6.47)		no	only covered edges in the new decontamination room, all surgery have been this way for time and if works are to be completed on any of the surgeries then this would be the case.
2.12 Are keyboard covers or "easy-clean" waterproof keyboards used in clinical areas? (6.66)		no	No covers are used as gloves are not used while using the computer. They are cleaned with alcohol wipes.
2.13 Are toys provided easily cleaned? (6.73)	yes		
2.14 Confirm free standing or ceiling mounted fans are not used in clinical/ decontamination areas? (6.40)	yes		
2.15 Is cleaning equipment colour-coded, in accordance with the National Patient Safety Agency recommendations as detailed in HTM 01-05? (6.53)		no	Cleaning equipment is not coloured coded, but it is labelled for clinical use and for environmental cleaning.
2.16 Is cleaning equipment stored in a non-clinical area? (6.60)	yes		
2.17 Where disposable single-use covers are used, are they discarded after each patient contact? (6.65)	yes		
2.18 Are the surfaces of equipment cleaned between each patient (E.g. work surfaces, dental chairs, curing lamps, delivery units, inspection handles and lights, spittoons, external surface of aspirator and X-ray heads)? (6.62)	yes		
2.19 Are all taps, drainage points, splash backs, sinks, aspirators, drains, spittoons, cleaned after every session with a surfactant/detergent? (6.63)	yes		
2.20 Are floors, cupboard doors and accessible high level surfaces and floors cleaned daily? (6.63)	yes		

<p>2.21 Is there a designated area for the disposal of dirty water, which is outside the kitchen, clinical and decontamination areas; for example toilet, drain or slop-hopper (slop hopper is a device used for the disposal of liquid or solid waste)?</p>	<p>yes</p>		
<p>2.22 Does the practice have a local policy and procedure/s for spillage in accordance with COSHH? (2.4d, 2.6)</p>	<p>yes</p>		
<p>Provider's level of compliance</p>			<p>Compliant</p>

3 Hand hygiene			
Inspection criteria	Yes	No	If NO provide rationale and actions to be taken with timescales to achieve compliance with HTM 01-05.
3.1 Does the practice have a local policy and procedure for hand hygiene? (2.6 Appendix 1)	yes		
3.2 Is hand hygiene an integral part of staff induction? (6.3)	yes		
3.3 Is hand hygiene training provided periodically throughout the year? (1.22, 6.3)	yes		
3.4 Is hand hygiene carried out before and after every new patient contact? (Appendix 1)	yes		
3.5 Is hand hygiene performed before donning and following the removal of gloves? (6.4, Appendix 1)	yes		
3.6 Do all staff involved in any clinical and decontamination procedures have short nails that are clean and free from nail extensions and varnish? (6.8, 6.23, Appendix 1)	yes		
3.7 Do all clinical and decontamination staff remove wrist watches, wrist jewellery, rings with stones during clinical and decontamination procedures? (6.9, 6.22)	yes		
3.8 Are there laminated or wipe-clean posters promoting hand hygiene on display? (6.12)	yes		
3.9 Is there a separate dedicated hand basin provided for hand hygiene in each surgery where clinical practice takes place? (2.4g, 6.10)	yes		

3.10 Is there a separate dedicated hand basin available in each room where the decontamination of equipment takes place? (2.4u, 5.7, 6.10)	yes		
3.11 Are wash-hand basins free from equipment and other utility items? (2.4g, 5.7)	yes		
3.12 Are hand hygiene facilities clean and intact (check sinks taps, splash backs, soap and paper towel dispensers)? (6.11, 6.63)	yes		
3.13 Do the hand washing basins provided in clinical and decontamination areas have : <ul style="list-style-type: none"> • no plug; and • no overflow. Lever operated or sensor operated taps.(6.10)		no	As previous questions, surgeries have been in place for some time and this is not the case. if works are to be completed then this would be the case.
3.14 Confirm nailbrushes are not used at wash-hand basins? (Appendix 1)	yes		
3.15 Is there good quality, mild liquid soap dispensed from single-use cartridge or containers available at each wash-hand basin? Bar soap should not be used. (6.5, Appendix 1)	yes		
3.16 Is skin disinfectant rub/gel available at the point of care? (Appendix 1)	yes		
3.17 Are good quality disposable absorbent paper towels used at all wash-hand basins? (6.6, Appendix 1)	yes		

<p>3.18 Are hand-cream dispensers with disposable cartridges available for all clinical and decontamination staff? (6.7, Appendix 1)</p>	<p>yes</p>		
<p>Provider's level of compliance</p>			<p>Compliant</p>

4 Management of dental medical devices			
Inspection criteria	Yes	No	If NO provide rationale and actions to be taken with timescales to achieve compliance with HTM 01-05.
4.1 Does the practice have an infection control policy that includes procedures for the use, maintenance, service and repair of all medical devices? (1.18, 2.4a, 2.6, 2.7, 3.54)	yes		
4.2 Has the practice carried out a risk assessment for legionella under the Health and Safety Commission's "Legionnaires' disease - the control of legionella bacteria in water systems Approved Code of Practice and Guidance" (also known as L8)? (6.75-6.90, 19.0)	yes		
4.3 Has the practice a written scheme for prevention of legionella contamination in water pipes and other water lines?(6.75, 19.2)	yes		
4.4 Impression material, prosthetic and orthodontic appliances: Are impression materials, prosthetic and orthodontic appliances decontaminated in the surgery prior to despatch to laboratory in accordance with manufacturer's instructions?(7.0)	yes		
4.5 Impression material, prosthetic and orthodontic appliances: Are prosthetic and orthodontic appliances decontaminated before being placed in the patient's mouth? (7.1b)	yes		
4.6 Dental Unit Water lines (DUWLs): Are in-line filters cleaned/replaced as per manufacturer's instructions?(6.89, 6.90)	yes		

4.7 Dental Unit Water lines (DUWLs): Is there an independent bottled-water system used to dispense distilled, reverse osmosis (RO) or sterile water to supply the DUWL? (6.84)	yes		
4.8 Dental Unit Water lines (DUWLs): For dental surgical procedures involving irrigation; is a separate single-use sterile water source used for irrigation? (6.91)			n/a- no surgical procedures provided
4.9 Dental Unit Water lines (DUWLs): Are the DUWLs drained down at the end of every working day?(6.82)	yes		
4.10 Dental Unit Water lines (DUWLs): Are self-contained water bottles (bottled water system) removed, flushed with distilled or RO water and left open to the air for drying on a daily basis, and if necessary overnight, and in accordance with manufacturer's guidance? (6.83)		no	This is not done as we use alpron system, and as per manufactures guidelines these can be stored on the units for 7 days.
4.11 Dental Unit Water lines (DUWLs): Where bottled water systems are not used is there a physical air gap separating dental unit waterlines from mains water systems. (Type A)?(6.84)			n/a
4.12 Dental Unit Water lines (DUWLs): Are DUWLs flushed for a minimum of 2 minutes at start of each working day and for a minimum of 20-30 seconds between every patient? (6.85)	yes		
4.13 Dental Unit Water lines (DUWLs): Are all DUWL and hand pieces fitted with anti-retraction valves? (6.87)	yes		
4.14 Dental Unit Water lines (DUWLs): Are DUWLs either disposable or purged using manufacturer's recommended disinfectants? (6.84-6.86)	yes		

4.15 Dental Unit Water lines (DUWLs): Are DUWL filters changed according to the manufacturer's guidelines? (6.89)	yes		
Provider's level of compliance			Compliant

5 Personal Protective Equipment			
Inspection criteria	Yes	No	If NO provide rationale and actions to be taken with timescales to achieve compliance with HTM 01-05.
5.1 Does the practice have a policy and procedures for the use of personal protective equipment? (2.6, 6.13)	yes		
5.2 Are staff trained in the use of personal protective equipment as part of the practice induction? (6.13)	yes		
5.3 Are powder-free CE marked gloves used in the practice? (6.20)	yes		
5.4 Are alternatives to latex gloves available? (6.19, 6.20)	yes		
5.5 Are all single-use PPE disposed of after each episode of patient care? (6.21, 6.25, 6.36c)	yes		
5.6 Is hand hygiene performed before donning and following the removal of gloves? (6.4 Appendix 1)	yes		
5.7 Are clean, heavy duty household gloves available for domestic cleaning and decontamination procedures where necessary? (6.23)	yes		
5.8 Are heavy-duty household gloves washed with detergent and hot water and left to dry after each use? (6.23)	yes		
5.9 Are heavy-duty household gloves replaced weekly or more frequently if worn or torn? (6.23)	yes		

5.10 Are disposable plastic aprons worn during all decontamination processes or clinical procedures where there is a risk that clothing/uniform may become contaminated? (6.14, 6.24-6.25)	yes		
5.11 Are single-use plastic aprons disposed of as clinical waste after each procedure? (6.25)	yesy		
5.12 Are plastic aprons, goggles, masks or face shields used for any clinical and decontamination procedures where there is a danger of splashes? (6.14, 6.26-6.29)	yes		
5.13 Are masks disposed of as clinical waste after each use? (6.27, 6.36)	yes		
5.14 Are all items of PPE stored in accordance with manufacturers' instructions? (6.14)	yes		
5.15 Are uniforms worn by all staff changed at the end of each day and when visibly contaminated? (6.34)	yes		
5.16 Is eye protection for staff used during decontamination procedures cleaned after each session or sooner if visibly contaminated? (6.29)	yes		
5.17 Is eye protection provided for the patient and staff decontaminated after each episode of patient care? (6.29)	yes		
Provider's level of compliance			Compliant

6 Waste			
Inspection criteria	Yes	No	If NO provide rationale and actions to be taken with timescales to achieve compliance with HTM 07-01.
6.1 Does the practice have a policy and procedure/s for the management and disposal of waste? (2.6, 6.1 (07-01) 6.4 (07-01))	yes		
6.2 Have all staff attended induction and on-going training in the process of waste disposal? (1.22, 6.43 (07-01) 6.51 (07-01))	yes		
6.3 Is there evidence that the waste contractor is a registered waste carrier? (6.87 (07-01) 6.90 (07-01))	yes		
6.4 Are all disposable PPE disposed of as clinical waste? (6.26, 6.27, 6.36, HTM 07-01 PEL (13) 14)	yes		
6.5 Are orange bags used for infectious Category B waste such as blooded swabs and blood contaminated gloves? (HTM 07-01, PEL (13) 14, 5.39 (07-01) Chapter 10 - Dental 12 (07-01))	yes		
6.6 Are black/orange bags used for offensive/hygiene waste such as non-infectious recognisable healthcare waste e.g. gowns, tissues, non-contaminated gloves, X-ray film, etc, which are not contaminated with saliva, blood, medicines, chemicals or amalgam? (HTM 07-01, PEL (13) 14, 5.50 (07-01) Chapter 10-Dental 8 (07-01))	yes		
6.8 Are black/clear bags used for domestic waste including paper towels? (HTM 07-01, PEL (13) 14, 5.51 (07-01))	yes		

6.9 Are bins foot operated or sensor controlled, lidded and in good working order? (5.90 (07-01))	yes		
6.10 Are local anaesthetic cartridges and other Prescription Only Medicines (POMs) disposed of in yellow containers with a purple lid that conforms to BS 7320 (1990)/UN 3291? (HTM 07-01 PEL (13) 14, Chapter 10 - Dental 11 (07-01))	yes		
6.11 Are clinical waste sacks securely tied and sharps containers locked before disposal? (5.87 (07-01))	yes		
6.12 Are all clinical waste bags and sharps containers labelled before disposal? (5.23 (07-01), 5.25 (07-01))	yes		
6.13 Is waste awaiting collection stored in a safe and secure location away from the public within the practice premises? (5.33 (07-01), 5.96 (07-01))	yes		
6.14 Are all clinical waste bags fully described using the appropriate European Waste Catalogue (EWC) Codes as listed in HTM 07-01 (Safe Management of Healthcare Waste)?(3.32 (07-01))	yes		
6.15 Are all consignment notes for all hazardous waste retained for at least 3 years?(6.105 (07-01))	yes		
6.16 Has the practice been assured that a "duty of care" audit has been undertaken and recorded from producer to final disposal? (6.1 (07-01), 6.9 (07-01))	yes		
6.17 Is there evidence the practice is segregating waste in accordance with HTM 07-01? (5.86 (07-01), 5.88 (07-01), 4.18 (07-01))	yes		
Provider's level of compliance			Compliant

7 Decontamination			
Inspection criteria	Yes	No	If NO provide rationale and actions to be taken with timescales to achieve compliance with HTM 01-05.
7.1 Does the practice have a room separate from the patient treatment area, dedicated to decontamination meeting best practice standards? (5.3–5.8)	yes		
7.2 Does the practice have washer disinfector(s) in sufficient numbers to meet the practice requirements? (PEL(13)13)	yes		
7.3 Are all reusable instruments being disinfected using the washer disinfector? (PEL(13)13)	yes		
7.4 Does the practice have steam sterilisers in sufficient numbers to meet the practice requirements?	yes		
7.5 a Has all equipment used in the decontamination process been validated?	yes		
7.5 b Are arrangements in place to ensure that all equipment is validated annually? (1.9, 11.1, 11.6, 12,13, 14.1, 14.2, 15.6)	yes		
7.6 Have separate log books been established for each piece of equipment?	yes		
Does the log book contain all relevant information as outlined in HTM01-05? (11.9)	yes		

<p>7.7 a Are daily, weekly, monthly periodic tests undertaken and recorded in the log books as outlined in HTM 01-05? (12, 13, 14)</p>	<p>yes</p>		
<p>7.7 b Is there a system in place to record cycle parameters of equipment such as a data logger?</p>	<p>yes</p>		
<p>Provider's level of compliance</p>			<p>Compliant</p>

Appendix 1



Name of practice: **Alexanders Dental Practice**

Declaration on consultation with patients

The need for consultation with patients is outlined in The Independent Health Care Regulations (Northern Ireland) 2005, Regulation 17(3) and The Minimum Standards for Dental Care and Treatment 2011, Standard 9.

1 Do you have a system in place for consultation with patients, undertaken at appropriate intervals?

Yes No

If no or other please give details:

2 If appropriate has the feedback provided by patients been used by the service to improve?

Yes No

3 Are the results of the consultation made available to patients?

Yes No

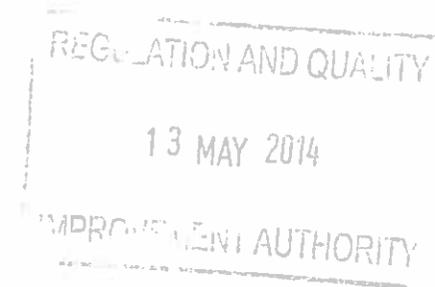


Quality Improvement Plan

Announced Inspection

Alexanders Dental Practice

8 April 2014



The areas where the service needs to improve, as identified during this inspection visit, are detailed in the inspection report and Quality Improvement Plan.

The specific actions set out in the Quality Improvement Plan were discussed with Mrs Susanne McCammick, registered manager either during or after the inspection visit.

Any matters that require completion within 28 days of the inspection visit have also been set out in separate correspondence to the registered persons.

Registered providers/managers should note that failure to comply with regulations may lead to further enforcement and/or prosecution action as set out in The HPSS (Quality, Improvement and Regulation) (Northern Ireland) Order 2003.

It is the responsibility of the registered provider/manager to ensure that all requirements and recommendations contained within the Quality Improvement Plan are addressed within the specified timescales.

Matters to be addressed as a result of this inspection are set in the context of the current registration of your premises. The registration is not transferable so that in the event of any future application to alter, extend or to sell the premises the RQIA would apply standards current at the time of that application.

RECOMMENDATIONS

These recommendations are based on The Minimum Standards for Dental Care and Treatment (2011), research or recognised sources. They promote current good practice and if adopted by the registered person may enhance service, quality and delivery.

NO.	MINIMUM STANDARD REFERENCE	RECOMMENDATIONS	NUMBER OF TIMES STATED	DETAILS OF ACTION TAKEN BY REGISTERED PERSON(S)	TIMESCALE
1	13	<p>In keeping with best practice guidance as outlined in HTM 07-01 the following issues in relation to sharps containers should be addressed:</p> <ul style="list-style-type: none"> sharps containers should be wall mounted at the point of use. Sharps containers should be labelled with a location, signed and dated on assembly. <p>Ref: 10.1</p>	One	<p>All staff have been readvised again - re: Sharps containers being labelled with location, signed & dated. Sharps are to be wall mounted</p>	One month
2	13	<p>In keeping with best practice guidance as outlined in HTM 01-05 the carpeted area in the entrance to surgery one should be replaced.</p> <p>Cognisance should be given to the flooring specifications as outlined in HTM 01-05, when replacing the carpet and when fitting a finished floor in the surgery currently under construction.</p> <p>Ref: 10.2</p>	One	<p>This has been discussed with a contractor floor company & dates organised for this to be completed. New Surgery will be HTM 01-05 compliant.</p>	Three months
3	13	<p>In the interests of infection prevention and control and to aid effective cleaning the damaged chair covering in surgery five should be replaced.</p> <p>All dental chairs should be examined for evidence of rips and tears, any issues identified should be addressed.</p> <p>Ref: 10.2</p>	One	<p>This has been discussed with our manufacturers. & this will be completed within the 3 month period.</p>	Three months.

NO.	MINIMUM STANDARD REFERENCE	RECOMMENDATIONS	NUMBER OF TIMES STATED	DETAILS OF ACTION TAKEN BY REGISTERED PERSON(S)	TIMESCALE
4	13	<p>In keeping with best practice guidance the overflows in all dedicated hand washing basins must be blanked off using a stainless steel plate and sealed with antibacterial mastic, and the plugs removed.</p> <p>In the surgery currently under construction, the dedicated hand washing basin must adhere to the hand washing basin specifications as outlined in HTM 01-05.</p> <p>Ref: 10.3</p>	One	<p>We are in process of sorting this out & will be completed within time frame</p>	Two months
5	13	<p>As recommended in the legionella risk assessment, the following control measures should be implemented:</p> <ul style="list-style-type: none"> • monthly monitoring of the sentinel water temperatures; and • flushing of all infrequently used outlets. <p>Ref: 10.4</p>	One	<p>I will do this on going immediately.</p>	Immediate and on-going

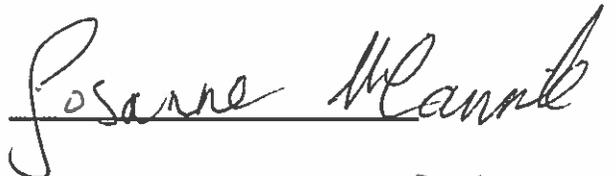
The registered provider/manager is required to detail the action taken, or to be taken, in response to the issues raised in the Quality Improvement Plan. The Quality Improvement Plan is then to be signed below by the registered provider and registered manager and returned to:

Stephen O'Connor
 The Regulation and Quality Improvement Authority
 9th floor
 Riverside Tower
 5 Lanyon Place
 Belfast
 BT1 3BT

SIGNED: 

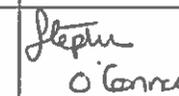
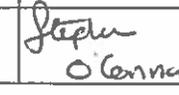
NAME: PATRICK MCGEARRY.
 Registered Provider

DATE 8/5/14

SIGNED: 

NAME: SUSANNE MCCAMMICK.
 Registered Manager

DATE 8/5/14

QIP Position Based on Comments from Registered Persons		Yes	No	Inspector	Date
A	Quality Improvement Plan response assessed by inspector as acceptable	✓		 O'Connor	19.05.14
B	Further information requested from provider		✓	 O'Connor	19.05.14