



Failure to Comply Notice Announced Compliance Inspection

Name of Establishment:	Bowen Dental (Stewartstown Road)
Establishment ID No:	11398
Date of Inspection:	7 July 2014
Inspector's Name:	Emily Campbell
Inspection ID:	20083

The Regulation and Quality Improvement Authority
9th floor Riverside Tower, 5 Lanyon Place, Belfast, BT1 3BT
Tel: 028 9051 7500 Fax: 028 9051 7501

1.0 General Information

Name of establishment:	Bowen Dental (Stewartstown Road)
Address:	25 Stewartstown Road Belfast BT11 9FY
Telephone number:	028 9028 3800
Registered organisation / Registered provider:	Mr David Bowen
Registered manager:	Ms Francine Doyle
Person in charge of the establishment at the time of Inspection:	Mr David Bowen
Registration category:	IH-DT
Type of service provision:	Private dental treatment
Maximum number of places registered: (dental chairs)	2
Date and type of previous inspection:	Announced 16 April 2014
Date and time of inspection:	7 July 2014 1.20pm – 1.40pm
Name of inspector:	Emily Campbell

2.0 Introduction

The Regulation and Quality Improvement Authority (RQIA) is empowered under The Health and Personal Social Services (Quality, Improvement and Regulation) (Northern Ireland) Order 2003 to inspect dental practices providing private dental care and treatment. A minimum of one inspection per year is required. The service is also inspected to determine compliance with the requirements of the Independent Health Care Regulations (Northern Ireland) 2005 and the Minimum Standards for Dental Care and Treatment March 2005.

This is a report of the announced inspection to assess the compliance against a failure to comply notice. The report details the extent to which the standards measured during inspection were met.

3.0 Purpose of the Inspection

The purpose of the inspection was to ascertain the progress made to address the actions outlined in the Failure to Comply Notice issued on 12 May 2014.

The breach of legislation identified in the Failure to Comply Notices was as follows:

The Independent Health Care Regulations (Northern Ireland) 2005

Regulation 15 (3) – Where reusable medical devices are used in an establishment or agency, the registered person shall ensure that appropriate procedures are implemented in relation to cleaning, disinfection, inspection, packaging, sterilisation, transportation and storage of such devices.

4.0 Inspection Focus

An announced follow-up inspection was undertaken to Bowen Dental (Stewartstown Road) as it had been identified during the inspection on 16 April 2014 that satisfactory progress towards compliance with the decontamination of reusable dental instruments, as outlined in HTM 01-05 and the PEL 12(23) had not been made. Subsequent to this a Failure to Comply Notice was issued on 12 May 2014 in this regard.

This inspection was undertaken to establish the progress made towards compliance with the Failure to comply notice.

5.0 Methods/Process

- Review of the actions taken to comply with the failure to comply notice;
- Discussion with Mr David Bowen, registered provider, and Ms Francine Doyle, registered manager;
- Examination of relevant records;

- Consultation with relevant staff;
- Tour of the premises; and
- Evaluation and feedback.

Any other information received by RQIA about this practice has also been considered by the inspector in preparing for this inspection.

6.0 DHSSPS Policy Position and Northern Ireland Amendment

Dental practices in Northern Ireland were directed by the DHSSPS, that best practice recommendations in the Health Technical Memorandum (HTM) 01-05, Decontamination in primary care dental practices, along with Northern Ireland amendments as noted in the Professional Estates Letter (PEL) (10) 04, should have been fully implemented by **November 2012**. PEL (10) 04 was replaced by PEL (12) 23 on 21 December 2012. HTM 01-05 was updated in 2013 and this was forwarded to Primary Care Dental Practices through the issue of Professional Estates Letter (PEL) (13) 13 on 1 October 2013. The PEL (13) 13 advised General Dental Practitioners of the publication of the 2013 version of HTM 01-05 and the specific policy amendments to the guidance that apply in Northern Ireland.

7.0 Summary

An announced follow-up inspection was undertaken Bowen Dental (Stewartstown Road) on 7 July 2014, as it had been identified during the previous inspection on 16 April 2014 that satisfactory progress towards compliance with the decontamination of reusable dental instruments, as outlined in HTM 01-05 and the PEL (13) 13 had not been made. Subsequent to this a Failure to Comply Notice was issued to Mr David Bowen, registered provider, in respect of Bowen Dental (Stewartstown Road) on 12 May 2014. The inspection was undertaken by Emily Campbell on 7 July 2014 between the hours of 1.20pm and 1.40pm. Mr Bowen and Ms Francine Doyle, registered manager, were available during the inspection and for verbal feedback at the conclusion of the inspection.

The breach of legislation identified in the Failure to Comply Notice was as follows:

The Independent Health Care Regulations (Northern Ireland) 2005 Regulation 15 (3) – Where reusable medical devices are used in an establishment or agency, the registered person shall ensure that appropriate procedures are implemented in relation to cleaning, disinfection, inspection, packaging, sterilisation, transportation and storage of such devices.

A dedicated fully functioning decontamination room; separate from patient treatment areas has been established. The layout of the room is in keeping with best practice as indicated in HTM 01-05.

All reusable dental instruments are appropriately cleaned, sterilised and stored in the decontamination room following use. A validated washer disinfectant has been installed and is incorporated within the decontamination process. Staff training has been provided on the use of the washer disinfectant and a logbook has been established which contains the relevant information and periodic test recording.

Review of documentation, discussion with Mr Bowen, Ms Doyle and a dental nurse and observations made during the inspection evidenced that the necessary actions have been taken to comply with the Failure to Comply Notice. Mr Bowen was issued with a letter of confirmation of compliance following the inspection.

The inspector wishes to thank Mr Bowen, Ms Doyle and the dental nurse for their helpful discussions and co-operation throughout the inspection process.

8.0 Inspection Findings of Action Required to Comply with Regulations:

A dedicated decontamination room must be completed, fully equipped and operational to ensure that all reusable dental instruments are appropriately cleaned, sterilised and stored following use in keeping with best practice as outlined in HTM 01-05.

The inspector observed that a dedicated decontamination room has been established on the ground floor of the practice. Observations made and discussion with Mr Bowen, Ms Doyle and a dental nurse confirmed that the decontamination room is appropriately equipped and fully operational in keeping with HTM 01-05.

It was confirmed during discussion with Mr Bowen, Ms Doyle and a dental nurse that all reusable dental instruments are appropriately cleaned, sterilised and stored following use in keeping with best practice as outlined in HTM 01-05.

The layout of the room should be in keeping with best practice as indicated in HTM 01-05 (2013 edition) and PEL (13) 13, which replaced PEL (12) 23.

Observations made evidenced that the layout of the decontamination room is in keeping with HTM 01.05. A dirty to clean flow is in place and adequate space is provided for clean and dirty set down areas. Work surfaces were uncluttered and easy to clean and the floor covering was coved and sealed at the edges.

One sink is provided for the manual cleaning of dental instruments in the event of the washer disinfecter being unavailable or if instruments are not compatible with the washer disinfecter. Ms Doyle and the dental nurse spoken with confirmed that manual cleaning of instruments had not been undertaken since the implementation of a washer disinfecter within the decontamination process. The inspector advised that a separate basin should be made available for the rinsing of instruments should manual cleaning need to be undertaken. Mr Bowen and Ms Doyle readily agreed to this.

An illuminated magnification device is available and appropriately placed for the inspection of instruments following cleaning and prior to sterilization.

A dedicated hand wash basin is available with adequate supplies of liquid soap and paper towels available. Ms Doyle confirmed that a hand towel dispenser and cartridges of liquid soap and alcohol gel for the wall mounted dispensers were being delivered on the afternoon of the inspection.

Provision of an automated validated washer disinfectant of adequate capacity, fully implemented into the decontamination process.

The inspector observed that a washer disinfectant has been installed. Discussion with the dental nurse confirmed that the washer disinfectant has been fully implemented within the decontamination process and is being used in line with best practice. The dental nurse was aware of the correct procedures for loading and unloading instruments from the washer disinfectant.

A review of records confirmed that the washer disinfectant was validated on commissioning.

Staff undertaking decontamination duties should be trained in the use of the washer disinfectant.

Ms Doyle and the dental nurse confirmed that training in the use of the washer disinfectant had been provided for all staff who undertake decontamination duties.

A logbook should be established for the washer disinfectant containing the relevant information as indicated in HTM 01-05.

A logbook has been established for the washer disinfectant. Review of the logbook confirmed that it contained the relevant information as indicated in HTM 01-05.

Periodic tests of the washer disinfectant as outlined in HTM 01-05 should be undertaken and recorded in the washer disinfectant logbook.

Review of the washer disinfectant logbook and discussion with the dental nurse evidenced that the appropriate periodic tests were undertaken and recorded. A monthly soil test has not yet been carried out, however, the dental nurse demonstrated sound awareness of the procedure to be carried out in this regard and the appropriate test kits were available.

The cessation of the decontamination of dental instruments in dental surgeries.

Mr Bowen, Ms Doyle and the dental nurse spoken with confirmed that no decontamination of dental instruments is undertaken in dental surgeries.

8.0 CONCLUSION

Review of documentation, discussion with Mr Bowen, Ms Doyle and a dental nurse and observations made during the inspection evidenced that the necessary actions have been taken to comply with the Failure to Comply Notice. Mr Bowen was issued with a letter of confirmation of compliance following the inspection.

The findings of this inspection were discussed with Mr Bowen and Ms Doyle as part of the inspection process.

This inspection resulted in no recommendations or requirements being made. The registered provider/registered manager is asked to sign the appropriate page confirming they are assured about the factual accuracy of the content of the report.

Matters to be addressed as a result of this inspection are set in the context of the current registration of your premises. The registration is not transferable so that in the event of any future application to alter, extend or to sell the premises the RQIA would apply standards current at the time of that application.

Enquiries relating to this report should be addressed to:

Regulation and Quality Improvement Authority
9th Floor
Riverside Tower
5 Lanyon Place
BELFAST BT1 3BT

Emily Campbell
Inspector/Quality Reviewer

Date



No requirements/recommendations resulted from the **Failure to Comply Notice Announced Compliance Inspection** of Bowen Dental (Stewartstown Road) undertaken on **7 July 2014** and **I agree/do not agree*** with the content of the report.

** Please delete as appropriate*

Please provide any additional comments or observations you may wish to make:

SIGNED: _____
Registered Provider

SIGNED: _____
Registered Person in Control
(or Designated Person in Control)

NAME: _____
(PRINT)

NAME: _____
(PRINT)

DATE: _____

DATE: _____

The registered provider/manager is required to sign this declaration and return to:

The Regulation and Quality Improvement Authority
9th floor
Riverside Tower
5 Lanyon Place
Belfast
BT1 3BT

DATE RECEIVED	APPROVED	SIGNATURE OF INSPECTOR



The Regulation and
Quality Improvement
Authority

REGULATION AND QUALITY

Inspection ID: 20083

09 SEP 2014

IMPROVEMENT AUTHORITY

No requirements/recommendations resulted from the **Failure to Comply Notice Announced Compliance Inspection** of Bowen Dental (Stewartstown Road) undertaken on 7 July 2014 and I agree/do not agree* with the content of the report.

* Please delete as appropriate

Please provide any additional comments or observations you may wish to make:

SIGNED: David Bowen
Registered Provider

SIGNED: Francine Doyle
Registered Person in Control
(or Designated Person in Control)

NAME: DAVID BOWEN
(PRINT)

NAME: FRANCINE DOYLE
(PRINT)

DATE: 4/9/14

DATE: 4/9/14

The registered provider/manager is required to sign this declaration and return to:

The Regulation and Quality Improvement Authority
9th floor
Riverside Tower
5 Lanyon Place
Belfast
BT1 3BT

DATE RECEIVED	APPROVED	SIGNATURE OF INSPECTOR
15/9/14	Yes	B Campbell