Announced Care Inspection Report
6 September 2016

Carryduff Dental Practice
Type of Service: Independent Hospital (IH) - Dental Treatment
Address: 6 The Crescent, Carryduff, Belfast, BT8 8DW
Tel No: 028 9081 2431
Inspector: Lynn Long

www.rqia.org.uk
Assurance, Challenge and Improvement in Health and Social Care
An announced inspection of Carryduff Dental Practice took place on 6 September 2016 from 10:00 to 12:00.

The inspection sought to assess progress with any issues raised during and since the last care inspection and to determine if the practice was delivering safe, effective and compassionate care and if the service was well led.

Is care safe?

Observations made, review of documentation and discussion with Mr Irwin and the registered manager demonstrated that systems and processes were in place to ensure that care to patients was safe and avoids and prevents harm. Areas reviewed included staffing, recruitment and selection, safeguarding, management of medical emergencies, infection prevention control and decontamination, radiology and the general environment. No requirements or recommendations have been made.

Is care effective?

Observations made, review of documentation and discussion with Mr Irwin and the registered manager demonstrated that systems and processes were in place to ensure that care provided in the establishment was effective. Areas reviewed included clinical records, health promotion, audits and communication. No requirements or recommendations have been made.

Is care compassionate?

Observations made, review of documentation and discussion with Mr Irwin and the registered manager demonstrated that arrangements are in place to promote patients’ dignity, respect and involvement in decision making. No requirements or recommendations have been made.

Is the service well led?

Information gathered during the inspection evidenced that there was effective leadership and governance arrangements in place which creates a culture focused on the needs of patients in order to deliver safe, effective and compassionate care. Areas reviewed included organisational and staff working arrangements, the arrangements for policy and risk assessment reviews, the arrangements for dealing with complaints, incidents and alerts, insurance arrangements and the registered provider’s understanding of their role and responsibility in accordance with legislation. No requirements or recommendations have been made.

This inspection was underpinned by The Independent Health Care Regulations (Northern Ireland) 2005, The Regulation and Improvement Authority (Independent Health Care) (Fees and Frequency of Inspections) (Amendment) Regulations (Northern Ireland) 2011 and the Department of Health, Social Services and Public Safety (DHSSPS) Minimum Standards for Dental Care and Treatment (2011).

While we assess the quality of services provided against regulations and associated DHSSPS care standards, we do not assess the quality of dentistry provided by individual dentists.
1.1 Inspection outcome

<table>
<thead>
<tr>
<th>Requirements</th>
<th>Recommendations</th>
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This inspection resulted in no requirements or recommendations being made. Findings of the inspection were discussed with Mr Irwin, registered provider, and the registered manager, as part of the inspection process and can be found in the main body of the report.

Enforcement action did not result from the findings of this inspection.

1.2 Actions/enforcement taken following the most recent care inspection

There were no further actions required to be taken following the most recent inspection.

2.0 Service details

<table>
<thead>
<tr>
<th>Registered organisation/registered person:</th>
<th>Registered manager:</th>
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<tr>
<td>Mr Darren Irwin</td>
<td>Ms Lorna Watters</td>
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<tr>
<th>Person in charge of the practice at the time of inspection:</th>
<th>Date manager registered:</th>
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<tr>
<td>Mr Darren Irwin</td>
<td>17 June 2012</td>
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<table>
<thead>
<tr>
<th>Categories of care:</th>
<th>Number of registered places:</th>
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<tr>
<td>Independent Hospital (IH) – Dental Treatment</td>
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3.0 Methods/processes

Questionnaires were provided to patients and staff prior to the inspection by the practice on behalf of the RQIA. Prior to inspection we analysed the following records: staffing information, complaints declaration and returned completed patient and staff questionnaires.

During the inspection the inspector met with Mr Irwin, Registered Provider, the registered manager and a dental receptionist. A tour of the premises was also undertaken.
Records were examined during the inspection in relation to the following areas:

- staffing
- recruitment and selection
- safeguarding
- management of medical emergencies
- infection prevention and control
- radiography
- clinical record recording arrangements
- health promotion
- management and governance arrangements
- maintenance arrangements

4.0 The inspection

4.1 Review of requirements and recommendations from the most recent inspection dated 30 September 2015

The most recent inspection of the establishment was an announced care inspection. No requirements or recommendations were made during this inspection.

4.2 Review of requirements and recommendations from the last care inspection dated 30 September 2015

As above.

4.3 Is care safe?

Staffing

Mr Irwin is a single handed dental practitioner. Three dental chairs are currently registered with RQIA. Discussion with the registered manager who is also a registered dental nurse and a review of completed patient and staff questionnaires demonstrated that there was sufficient numbers of staff in various roles to fulfil the needs of the practice and patients.

No new staff have been recruited since registration with RQIA, however, induction programme templates were in place relevant to specific roles within the practice.

Procedures were in place for appraising staff performance and the registered manager confirmed that appraisals had taken place. The registered manager confirmed that staff were supported and are involved in discussions about their personal development. There was a system in place to ensure that all staff receive appropriate training to fulfil the duties of their role.
A review of records confirmed that a robust system was in place to review the General Dental Council (GDC) registration status and professional indemnity of all clinical staff.

**Recruitment and selection**

A review of the submitted staffing information and discussion with Mr Irwin and the registered manager confirmed that no new staff have been recruited since the previous inspection. Mr Irwin confirmed that he is planning to recruit a new member of staff in the near future. A review of the systems and processes which have been developed will ensure that all recruitment documentation as outlined in Schedule 2 of The Independent Health Care Regulations (Northern Ireland) 2005 as amended will be sought and retained for inspection.

There was a recruitment policy and procedure available. The policy was comprehensive and reflected best practice guidance.

**Safeguarding**

Mr Irwin and the registered manager were aware of the types and indicators of abuse and the actions to be taken in the event of a safeguarding issue being identified, including who the nominated safeguarding lead was.

Review of records demonstrated that staff had received training in safeguarding children and adults as outlined in the Minimum Standards for Dental Care and Treatment 2011.

Policies and procedures were in place for the safeguarding and protection of adults and children at risk of harm. The policy included the types and indicators of abuse and distinct referral pathways in the event of a safeguarding issue arising with an adult or child. The relevant contact details for onward referral to the local Health and Social Care Trust should a safeguarding issue arise were included.

**Management of medical emergencies**

A review of medical emergency arrangements evidenced that emergency medicines were provided in keeping with the British National Formulary (BNF), and that emergency equipment as recommended by the Resuscitation Council (UK) guidelines was retained. A robust system was in place to ensure that emergency medicines and equipment do not exceed their expiry date. There was an identified individual with responsibility for checking emergency medicines and equipment.

Review of training records and discussion with Mr Irwin and the registered manager confirmed that the management of medical emergencies is included in the induction programme and training is updated on an annual basis in keeping with best practice guidance. Mr Irwin confirmed that annual refresher training, for some staff, which was due to be undertaken during July 2016, is planned for the near future following commencement of employment of a new staff member.

Mr Irwin and the registered manager demonstrated that they have a good understanding of the actions to be taken in the event of a medical emergency and the location of medical emergency medicines and equipment.
The policy for the management of medical emergencies reflected best practice guidance. Protocols were available for staff reference outlining the local procedure for dealing with the various medical emergencies.

**Infection prevention control and decontamination procedures**

Clinical and decontamination areas were tidy and uncluttered and work surfaces were intact and easy to clean. Fixtures, fittings, dental chairs and equipment were free from damage, dust and visible dirt. Staff were observed to be adhering to best practice in terms of the uniform and hand hygiene policies.

Discussion with Mr Irwin and the registered manager demonstrated that they had an understanding of infection prevention and control policies and procedures and were aware of their roles and responsibilities. Staff have received training in infection prevention and control and decontamination in keeping with best practice. Training records were available for inspection.

There was a nominated lead with responsibility for infection control and decontamination in the practice.

A decontamination room separate from patient treatment areas and dedicated to the decontamination process was available. Appropriate equipment, including a washer disinfector, a DAC Universal and a steam steriliser has been provided to meet the practice requirements. A review of documentation evidenced that equipment used in the decontamination process has been appropriately validated. A review of equipment logbooks evidenced that periodic tests are undertaken and recorded in keeping with Health Technical Memorandum (HTM) 01-05 Decontamination in primary care dental practices.

It was confirmed that the practice continues to audit compliance with HTM 01-05 using the Infection Prevention Society (IPS) audit tool.

A range of policies and procedures were in place in relation to decontamination and infection prevention and control.

**Radiography**

The practice has three surgeries and one intra-oral x-ray machine.

A dedicated radiation protection file containing the relevant local rules, employer’s procedures and other additional information was retained. A review of the file confirmed that staff have been authorised by the radiation protection supervisor (RPS) for their relevant duties and have received local training in relation to these duties. It was evidenced that all measures are taken to optimise dose exposure. This included the use of rectangular collimation and x-ray audits.

A copy of the local rules was on display near the x-ray machine and appropriate staff had signed to confirm that they had read and understood these. The registered manager demonstrated sound knowledge of the local rules and associated practice.

The radiation protection advisor (RPA) completes a quality assurance check every three years. Review of the report of the most recent visit by the RPA demonstrated that the recommendations made have been addressed.
The x-ray equipment has been serviced and maintained in accordance with manufacturer’s instructions.

Quality assurance systems and processes were in place to ensure that all matters relating to x-rays reflect legislative and best practice guidance.

Environment

The environment was maintained to a good standard of maintenance and décor.

Detailed cleaning schedules were in place for all areas which were signed on completion. A colour coded cleaning system was in place.

Arrangements are in place for maintaining the environment. A legionella risk assessment has been undertaken and water temperatures are monitored and recorded as recommended.

A fire risk assessment had been undertaken and fire training and fire drills had been completed. The registered manager confirmed that staff are aware of the action to take in the event of a fire.

A written scheme of examination of pressure vessels was in place and the pressure vessels had been examined in line with the written scheme.

Patient and staff views

Ten patients submitted questionnaire responses to RQIA. All indicated that they felt safe and protected from harm. The following comments were provided:

- ‘Always feel safe and fully informed of any procedures.’
- ‘Staff are friendly and polite, and I was informed at each visit what treatment I would be having.’

One member of staff submitted a questionnaire response. The response indicated that patients are safe and protected from harm. The registered manager spoken with during the inspection concurred with this.

Areas for improvement

No areas for improvement were identified during the inspection.

| Number of requirements | 0 | Number of recommendations | 0 |
Clinical records

Mr Irwin and the registered manager confirmed that clinical records are updated contemporaneously during each patient’s treatment session in accordance with best practice.

Routine dental examinations include a review of medical history, a check for gum disease and oral cancers and it was confirmed that treatment plans are developed in consultation with patients. It was confirmed that patients are informed about the cost of treatments, choices and options.

Manual records are maintained. Appropriate systems and processes were in place for the management of records and maintaining patient confidentiality.

Policies were available in relation to records management, data protection and confidentiality and consent.

A Freedom of Information Publication Scheme has been established.

Health promotion

The practice has a strategy for the promotion of oral health and hygiene. There was information available in regards to the promotion of good oral hygiene. Mr Irwin and the registered manager confirmed that advice and guidance in relation to oral hygiene is provided to patients on an individual basis at the chairside.

Audits

There were arrangements in place to monitor, audit and review the effectiveness and quality of care delivered to patients at appropriate intervals which included:

- x-ray quality grading
- x-ray justification and clinical evaluation recording
- IPS HTM 01-05 compliance

Communication

Mr Irwin and the registered manager confirmed that arrangements are in place for onward referral in respect of specialist treatments. A policy and procedure and template referral letters have been established.

Currently Mr Irwin is the only dentist working in the practice. Mr Irwin is supported by the registered manager and one other member of staff who works on reception. The registered manager confirmed that all three staff meet daily to discuss clinical and practice management issues.

The registered manager confirmed that there are good working relationships and there is an open and transparent culture within the practice.
Patient and staff views

All of the ten patients who submitted questionnaire responses indicated that they get the right care, at the right time and with the best outcome for them. The following comments were provided:

- ‘I was informed what treatment was required and if I was happy with it.’
- ‘Always seen even if I don’t have an appointment.’

One comment in relation to a specific dental treatment was recorded. This comment was shared with Mr Irwin who confirmed that he would be mindful of this or similar issues in the future and ensure that patients are fully informed of the potential outcomes of treatments.

One member of staff submitted a questionnaire response. They indicated that they felt that patients get the right care, at the right time and with the best outcome for them. The registered manager spoken with during the inspection concurred with this.

Areas for improvement

No areas for improvement were identified during the inspection.

| Number of requirements | 0 | Number of recommendations | 0 |

4.5 Is care compassionate?

Dignity, respect and involvement in decision making

Mr Irwin and the registered manager demonstrated a good understanding of the core values of privacy, dignity, respect and patient choice. It was confirmed that if they needed to speak privately with a patient that arrangements are provided to ensure the patient’s privacy is respected.

The importance of emotional support needed when delivering care to patients who were very nervous or fearful of dental treatment was clear.

It was confirmed that treatment options, including the risks and benefits, were discussed with each patient. This ensured that patients understood what treatment is available to them and can make an informed choice.

The practice undertakes patient satisfaction surveys on an annual basis. Review of the most recent patient satisfaction report demonstrated that the practice pro-actively seeks the views of patients about the quality of treatment and other services provided. Patient feedback whether constructive or critical, is used by the practice to improve, as appropriate. The date of the most recent patient satisfaction survey had not been recorded on the report. Mr Irwin and the registered manager readily agreed to ensure the date was recorded.

A policy and procedure was in place in relation to confidentiality which included the arrangements for respecting patient’s privacy, dignity and providing compassionate care and treatment.
Patient and staff views

All of the patients who submitted questionnaire responses indicated that they are treated with dignity and respect and are involved in decision making affecting their care. The following comments were provided:

- ‘In my case I was on a medication, the dentist contacted my doctor as I was having an extraction. Once contact had been made I felt at ease that I was being treated with dignity and being looked after professionally.’
- ‘Always treated with dignity by all the staff. Very professional in their work and always ready to discuss what the best treatment is.’

One member of staff submitted a questionnaire response. They indicated that they feel that patients are treated with dignity and respect and are involved in decision making affecting their care. The registered manager spoken with during the inspection concurred with this.

Areas for improvement

No areas for improvement were identified during the inspection.

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<th>Number of requirements</th>
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<td>Number of recommendations</td>
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Management and governance arrangements

There was a clear organisational structure within the practice and the registered manager was able to describe staff’s roles and responsibilities and who to speak to if they had a concern. The registered manager confirmed that there were good working relationships and that Mr Irwin was responsive to any suggestions or concerns raised.

Mr Irwin has overall responsibility for the day to day management of the practice.

Policies and procedures were available for staff reference. Observations made confirmed that policies and procedures were indexed, dated and systematically reviewed on a three yearly basis.

Arrangements were in place to review risk assessments.

A copy of the complaints procedure was available in the practice. The registered manager has a good awareness of complaints management. A complaints questionnaire was forwarded by RQIA to the practice for completion. The returned questionnaire indicated that no complaints have been received for the period 1 April 2015 to 31 March 2016.

A system was in place to ensure that notifiable events were investigated and reported to RQIA or other relevant bodies as appropriate. A system was also in place to ensure that urgent communications, safety alerts and notices are reviewed and where appropriate, made available in a timely manner.
Arrangements were in place to monitor, audit and review the effectiveness and quality of care delivered to patients at appropriate intervals. If required an action plan is developed and embedded into practice to address any shortfalls identified during the audit process.

A whistleblowing/raising concerns policy was available.

The registered provider demonstrated a clear understanding of their role and responsibility in accordance with legislation. Information requested by RQIA has been submitted within specified timeframes. It was confirmed that the Statement of Purpose and Patient’s Guide are kept under review, revised and updated when necessary and available on request.

The RQIA certificate of registration was up to date and displayed appropriately.

Observation of insurance documentation confirmed that current insurance policies were in place.

**Patient and staff views**

All of the patients who submitted questionnaire responses indicated that they feel that the service is well managed. The following comments were provided:

- ‘I feel the service is excellent. Professional staff, friendly and they always put you at ease.’
- ‘I was treated with dignity and respect and made to feel at ease by all staff who were professional and polite.’

One member of staff submitted a questionnaire response. They indicated that they feel that the service is well led. The registered manager spoken with during the inspection concurred with this.

**Areas for improvement**

No areas for improvement were identified during the inspection.

| Number of requirements | 0 | Number of recommendations | 0 |

**5.0 Quality improvement plan**

There were no issues identified during this inspection, and a QIP is neither required, nor included, as part of this inspection report.

It should be noted that this inspection report should not be regarded as a comprehensive review of all strengths and areas for improvement that exist in the service. The findings reported on are those which came to the attention of RQIA during the course of this inspection. The findings contained within this report do not exempt the registered provider from their responsibility for maintaining compliance with the regulations and standards.