Announced Care Inspection Report
11 December 2020

Hughes O'Boyle Dental Surgery (Newry)

Type of Service: Independent Hospital (IH) – Dental Treatment
Address: 14 Trevor Hill, Newry, BT34 1DN
Tel No: 028 3026 1565
Inspector: Philip Colgan

It should be noted that this inspection report should not be regarded as a comprehensive review of all strengths and areas for improvement that exist in the service. The findings reported on are those which came to the attention of RQIA during the course of this inspection. The findings contained within this report do not exempt the service from their responsibility for maintaining compliance with legislation, standards and best practice.

1.0 What we look for

In respect of dental practices for the 2020/21 inspection year we are moving to a more focused, shorter inspection which will concentrate on the following key patient safety areas:

- management of operations in response to the COVID-19 pandemic;
- management of medical emergencies;
- infection prevention and control (IPC);
- decontamination of reusable dental instruments;
- governance arrangements and review of the report of the visits undertaken by the registered provider in line with Regulation 26, where applicable; and
- review of the areas for improvement identified during the previous care inspection (where applicable).

2.0 Profile of service

This is a registered dental practice with three registered places providing general dental services.

3.0 Service details

Registered Person: Mr Seamus Hughes	**Registered Manager:** Mr Seamus Hughes
Person in charge at the time of inspection: Mr Seamus Hughes	**Date manager registered:** 06 November 2012
Categories of care: Independent Hospital (IH) – Dental Treatment	**Number of registered places:** Three

4.0 Inspection summary

We undertook an announced inspection on 11 December 2020 from 08:25 to 09:45 hours.

This inspection was underpinned by The Health and Personal Social Services (Quality, Improvement and Regulation) (Northern Ireland) Order 2003, The Independent Health Care Regulations (Northern Ireland) 2005, The Regulation and Improvement Authority (Independent Health Care) (Fees and Frequency of Inspections) (Amendment) Regulations (Northern Ireland) 2011 and the Department of Health (DoH) Minimum Standards for Dental Care and Treatment (2011).

The purpose of this inspection was to focus on the themes for the 2020/21 inspection year. A poster informing patients that an inspection was being conducted was displayed during the inspection.

We found evidence of good practice in relation to the management of medical emergencies; infection prevention and control; decontamination of reusable dental instruments; the practice's adherence to best practice guidance in relation to COVID-19; and governance arrangements. No immediate concerns were identified regarding the delivery of front line patient care.

4.1 Inspection outcome

	Regulations	Standards
Areas for improvement	1	0

Due to the COVID-19 pandemic a previous area for improvement could not be addressed and is carried forward to the next care inspection. The timescales for completion commence from the date of inspection.

4.2 Action/enforcement taken following the most recent inspection dated 30 January 2020

The most recent inspection of the establishment was an announced care inspection. The completed QIP was returned and approved by the care inspector. This QIP will be validated by the care inspector at the next care inspection.

4.3 Review of areas for improvement from the last care inspection dated 30 January 2020

<table>
<tr><th colspan="3">Areas for improvement from the last care inspection</th></tr>
<tr><th colspan="2">Action required to ensure compliance with The Independent Health Care Regulations (Northern Ireland) 2005</th><th>Validation of compliance</th></tr>
<tr><td rowspan="2">Area for improvement 1

Ref: Regulation 18 & 19

Stated: First time</td><td>The registered person shall review the arrangements in relation to the visiting consultant anaesthetist providing IV sedation to patients in the practice and ensure that they are in compliance with Regulation 18 and Regulation 19 of The Independent Health Care Regulations (NI) 2005.</td><td rowspan="2">Carried forward to the next care inspection</td></tr>
<tr><td>Action taken as confirmed during the inspection:
Due to the Covid 19 pandemic Intra-Venous (IV) sedation services have not been resumed.

This area for improvement will be carried forward to the next care inspection.</td></tr>
<tr><th colspan="2">Action required to ensure compliance with The Minimum Standards for Dental Care and Treatment (2011)</th><th>Validation of compliance</th></tr>
<tr><td rowspan="2">Area for improvement 1

Ref: Standard 12.4

Stated: First time</td><td>The registered person shall provide Buccolam pre-filled syringes in sufficient quantities and doses as recommended by the HSCB.</td><td rowspan="2">Met</td></tr>
<tr><td>Action taken as confirmed during the inspection:
The registered person had addressed this area for improvement immediately following the previous inspection and examination of the emergency drugs evidenced that this area for improvement has been met.</td></tr>
</table>

Area for improvement 2 **Ref**: Standard 8.6 **Stated:** First time	The registered person shall ensure the following, in keeping with Conscious Sedation in the Provision of Dental Care (2003): • care records should evidence the justification for using sedation; • care records should include the ASA Grade for patients receiving IV sedation; and • an aftercare information leaflet should be provided for patients receiving IV sedation.	**Met**
	Action taken as confirmed during the inspection: The registered person confirmed that the patient records were compliant with the requirements of Conscious Sedation in the Provision of Dental Care (2003) and that an aftercare leaflet was available. This area for improvement has been met.	
Area for improvement 3 **Ref**: Standard 8.6 **Stated:** First time	The registered person shall ensure that robust procedures are in place to ensure the management of medicines and equipment in relation to conscious sedation is in keeping with Conscious Sedation in The Provision of Dental Care (2003).	**Met**
	Action taken as confirmed during the inspection: The registered person confirmed that the practice procedures in relation to conscious sedation are in place to ensure the management of medicines and equipment were compliant with the requirements of Conscious Sedation in the Provision of Dental Care (2003). This area for improvement has been met.	

Area for improvement 4 **Ref**: Standard 13.4 **Stated:** First time	The registered person shall ensure that periodic tests are undertaken and recorded in keeping with HTM 01-05.	**Met**
	Action taken as confirmed during the inspection: The registered person and staff confirmed that periodic tests are undertaken and recorded. This was evidenced when the logbooks were examined as part of this inspection. This area for improvement has been met.	

5.0 How we inspect

Before the inspection, a range of information relevant to the practice was reviewed. This included the following records:

- notifiable events since the previous care inspection;
- the registration status of the establishment;
- written and verbal communication received since the previous care inspection;
- the returned QIP from the previous care inspection; and
- the previous care inspection report.

Questionnaires were provided to patients prior to the inspection by the establishment on our behalf. We also invited staff to complete an electronic questionnaire prior to the inspection. No completed patient or staff questionnaires were returned prior to the inspection.

During the inspection, we spoke with Mr Seamus Hughes, Registered Person, and a dental nurse.

The findings of the inspection were provided to Mr Hughes at the conclusion of the inspection.

6.0 Inspection findings

6.1 Management of operations in response to the COVID-19 pandemic

We discussed the management of operations in response to the COVID-19 pandemic with Mr Hughes, and application of the Health and Social Care Board (HSCB) operational guidance. We found that COVID-19 policies and procedures were in place in keeping with best practice guidance.

Areas of good practice: Management of operations in response to COVID-19 pandemic

We confirmed the practice had identified a COVID-19 lead; had reviewed and amended policies and procedures in accordance with the HSCB operational guidance to include arrangements to maintain social distancing; prepare staff; implement enhanced infection prevention and control procedures; and the patient pathway.

Areas for improvement: **Management of operations in response to COVID-19 pandemic**

We identified no areas for improvement regarding the management of operations in response to the COVID-19 pandemic.

	Regulations	Standards
Areas for improvement	0	0

6.2 Management of medical emergencies

We reviewed the arrangements in place for the management of medicines within the practice, including medicines used during intravenous (IV) sedation, to ensure that medicines were safely, securely and effectively managed in compliance with legislative requirements, professional standards and guidelines and we found them to be satisfactory.

We found that medicines were stored safely and securely and in accordance with the manufacturer's instructions. We confirmed that all emergency medicines as specified within the British National Formulary (BNF) for use in the event of a medical emergency in a dental practice were available. We also confirmed that all emergency equipment as recommended by the Resuscitation Council (UK) guidelines was available.

We noted a robust system was in place to ensure that emergency medicines and equipment do not exceed their expiry date and were ready for immediate use in the event of a medical emergency.

We spoke with staff who told us the management of medical emergencies was included in the staff induction programme and that training was updated on an annual basis in keeping with best practice guidance. We reviewed training records and evidenced that staff last completed medical emergency refresher training during November 2020. We found that this training included first aid and scenario-based exercises that simulated medical emergencies that have the potential to occur in a dental practice. These included; anaphylaxis; asthma; cardiac emergencies; myocardial infarction; epileptic seizures; hypoglycaemia; syncope; choking and aspiration; and adrenaline insufficiency.

Staff who spoke with us demonstrated a good understanding of the actions to be taken in the event of a medical emergency and were able to identify to us the location of medical emergency medicines and equipment. Staff told us that they felt well prepared to manage a medical emergency should this occur.

We were satisfied that sufficient emergency medicines and equipment were in place and staff were well prepared to manage a medical emergency should this occur.

Areas of good practice: Management of medical emergencies

We reviewed the arrangements in respect of the management of a medical emergency and confirmed that the dental practice takes a proactive approach to this key patient safety area. This included ensuring that staff had the knowledge and skills to react to a medical emergency, should it arise.

Areas for improvement: Management of medical emergencies

We identified no areas for improvement regarding the management of medical emergencies.

	Regulations	Standards
Areas for improvement	0	0

6.3 Infection prevention and control (IPC)

We reviewed arrangements in relation to IPC procedures throughout the practice to evidence that the risk of infection transmission to patients, visitors and staff was minimised. We undertook a tour of the premises and noted that the clinical and decontamination areas were clean, tidy and uncluttered. We found that all areas of the practice were fully equipped to meet the needs of patients.

We established that personal protective equipment (PPE) was readily available in keeping with best practice guidance. A higher level of PPE is required when dental treatment using aerosol generating procedures (AGPs) are undertaken including the use of FFP3 masks. An FFP3 mask is a respirator mask that covers the mouth and nose of the wearer. The performance of these masks depends on achieving good contact between the wearer's skin and the mask. The only way to ensure that the FFP3 mask offers the desired level of protection is for the wearer to be fit tested for a particular make and model of mask. We reviewed the fit testing records and confirmed that the appropriate staff had been fit tested for FFP3 masks.

We confirmed the practice continues to audit compliance with Health Technical Memorandum (HTM) 01-05: Decontamination in primary care dental practices using the Infection Prevention Society (IPS) audit tool. This audit includes key elements of IPC, relevant to dentistry, including the arrangements for environmental cleaning; the use of PPE; hand hygiene practice; and waste and sharps management.

Staff who spoke with us confirmed that IPS audits were completed in a meaningful manner and the process involved all dental nurses on a rotational basis. Staff told us that the outcome of the audit was discussed during regular staff meetings. Mr Hughes informed us that should the audit identify areas for improvement, an action plan would be generated to address the issues identified and that the IPS audit will be completed every six months.

We confirmed that arrangements were in place to ensure that staff received IPC and COVID-19 training commensurate with their roles and responsibilities. Staff who spoke with us demonstrated good knowledge and understanding of IPC procedures.

Review of the staff register identified that no new clinical staff members commenced work in the practice during 2019-20. Mr Hughes confirmed that in the future any recruited clinical staff members new to dentistry would be referred to occupational health.

Areas of good practice: Infection prevention and control

We reviewed the current arrangements with respect to IPC practice and evidenced good practice that was being actively reviewed.

Areas for improvement: Infection prevention and control

We identified no areas for improvement regarding IPC.

	Regulations	Standards
Areas for improvement	0	0

6.4 Decontamination of reusable dental instruments

We observed a decontamination room, separate from patient treatment areas and dedicated to the decontamination process, was available. We evidenced the decontamination room facilitated the flow from dirty through to clean areas for the cleaning and sterilising of reusable instruments.

We found arrangements were in place to ensure staff received training in respect of the decontamination of reusable dental instruments commensurate with their roles and responsibilities.

The processes regarding the decontamination of reusable dental instruments were being audited in line with the best practice outlined in HTM 01-05 using the IPS audit tool. We reviewed the most recent IPS audit, completed during July 2020 and found that the audit had been completed in a meaningful manner and had identified areas of good practice.

We found that appropriate equipment, including a washer disinfector, a DAC Uiniversal and two steam sterilisers had been provided to meet the requirements of the practice. We established that equipment used in the decontamination process had been appropriately validated and inspected in keeping with the written scheme of examination. Equipment logbooks evidenced that periodic tests were undertaken and recorded in keeping with HTM 01-05.

We found staff were aware of what equipment, used by the practice, should be treated as single use and what equipment was suitable for decontamination. We confirmed that single use devices were only used for single-treatment episodes and were disposed of following use.

A review of current practice evidenced that arrangements were in place to ensure that reusable dental instruments were appropriately cleaned, sterilised and stored following use in keeping with the best practice guidance outlined in HTM 01-05.

Areas of good practice: Decontamination of reusable dental instruments

We found the current arrangements evidenced that best practice, as outlined in HTM 01-05, was being achieved in respect of the decontamination of reusable dental instruments. This included proactively auditing practice, taking action when issues were identified and ensuring staff had the knowledge and skills to ensure standards were maintained.

Areas for improvement: Decontamination of reusable dental instruments

We identified no areas for improvement regarding the decontamination of reusable dental instruments.

	Regulations	Standards
Areas for improvement	0	0

6.5 Visits by the Registered Provider (Regulation 26)

Where the business entity operating a dental practice is a corporate body or partnership or an individual owner who is not in day to day management of the practice, unannounced quality monitoring visits by the Registered Provider must be undertaken and documented every six months; as required by Regulation 26 of The Independent Health Care Regulations (Northern Ireland) 2005. We established that Mr Hughes was in day to day charge of the practice, therefore the unannounced quality monitoring visits by the Registered Provider were not applicable.

6.6 Equality data

We discussed the arrangements in place regarding the equality of opportunity for patients and the importance of staff being aware of equality legislation and recognising and responding to the diverse needs of patients. Mr Hughes told us that equality data collected was managed in line with best practice.

6.7 Patient and staff views

As discussed in section 5.0, the practice distributed questionnaires to patients on our behalf and we invited staff to complete an electronic questionnaire. No completed patient or staff questionnaires were submitted to us prior to the inspection.

6.8 Total number of areas for improvement

	Regulations	Standards
Total number of areas for improvement	1	0

7.0 Quality improvement plan

Due to the COVID-19 pandemic a previous area for improvement could not be addressed and is carried forward to the next care inspection. The timescales for completion commence from the date re-instatment of the service.

The registered person/manager should note that if the action outlined in the QIP is not taken to comply with regulations and standards this may lead to further enforcement action. It is the responsibility of the registered person to ensure that all areas for improvement identified within the QIP are addressed within the specified timescales.

Matters to be addressed as a result of this inspection are set in the context of the current registration of the dental practice. The registration is not transferable so that in the event of any future application to alter, extend or to sell the premises RQIA would apply standards current at the time of that application.

7.1 Actions to be taken by the service

The QIP should be completed and detail the actions taken to address the areas for improvement identified. The registered provider should confirm that these actions have been completed and return the completed QIP via Web Portal for assessment by the inspector.

Quality Improvement Plan	
Action required to ensure compliance with The Independent Health Care Regulations (Northern Ireland) 2005	
Area for improvement 1 **Ref:** Regulation 18 & 19 **Stated:** First time	The registered person shall review the arrangements in relation to the visiting consultant anaesthetist providing IV sedation to patients in the practice and ensure that they are in compliance with Regulation 18 and Regulation 19 of The Independent Health Care Regulations (NI) 2005. Ref: 4.3
	Action required to ensure compliance with this standard was not fully reviewed as part of this inspection and this will be carried forward to the next care inspection.

The Regulation and Quality Improvement Authority

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