

**THE REGULATION AND QUALITY IMPROVEMENT AUTHORITY (RQIA)**

**FAILURE TO COMPLY NOTICE**

<b>Name of Registered Establishment:</b> Alpine House (RQIA ID: 1569)	<b>Name of Registered Person:</b> Mr Sathrouhun Bogun
<b>Address of Registered Establishment:</b> 20 Ballyholme Road, Bangor, BT20 5JN	
<b>Issue Date:</b> 6 February 2018	<b>FTC Ref:</b> FTC/RCH/1569/2017-18/01
<b>Regulation not complied with:</b>  <b><i>The Residential Care Home Regulations (Northern Ireland) 2005</i></b>  <b><i>Fitness of workers</i></b>  <b><i>Regulation 21</i></b>  <b><i>(4) The registered person shall not allow a person to whom paragraph (2) applies to work at the home in a position to which paragraph (3) applies, unless –</i></b> <b><i>(a) the person is fit to work at the home;</i></b> <b><i>(b) the employer has obtained in respect of that person the information and documents specified in –</i></b> <b><i>(i) paragraphs 1 to 7 of Schedule 2; and has confirmed in writing to the registered person that he has done so; and</i></b> <b><i>(c) the employer is satisfied on reasonable grounds as to the authenticity of the references referred to in paragraph 3 of Schedule 2 in respect of that person, and has confirmed in writing to the registered person that he is so satisfied.</i></b>	
<b>Specific failings to comply with regulations:</b>  During an unannounced care inspection on 30 January 2018 it was found that AccessNI enhanced disclosure certificates were absent in relation to three members of staff. Two employees were not registered with the Northern Ireland Social Care Council (NISCC) and there were gaps in the recruitment records of the remaining staff.	

**Action required to comply with regulations:**

Immediate action must be taken to ensure that:

- AccessNI enhanced disclosure certificates are obtained for the three identified members of staff
- the two identified employees are registered with NISCC
- all gaps identified in the recruitment records of the remaining staff are addressed
- a robust audit system should be developed and implemented in relation to staff recruitment and selection procedures and NISCC registrations

**The Registered Person may make written representations to the Chief Executive of RQIA regarding the issue of a failure to comply notice, within one month of the date of serving this notice.**

**Date by which compliance must be achieved: 5 March 2018**

Signed.....

**Director of Mental Health, Learning Disability and Social Work**

***This notice is served under The Health and Personal Social Services (Quality, Improvement and Regulation) (Northern Ireland) Order 2003 and The Residential Care Homes Regulations (Northern Ireland) (2005)***

***It should be noted that failure to comply with some regulations is considered to be an offence and RQIA has the power under regulations to prosecute for specified offences.***